



ST. TAMMANY PARISH

MICHAEL B. COOPER
PARISH PRESIDENT

NOTICE OF REQUEST FOR PROPOSALS

ST. TAMMANY PARISH

St. Tammany Parish Government is seeking responses for the following project:

RFP#21-14-3 Auditing Services

This RFP is available online at <http://www.stpgov.org>. It is the Proposer's responsibility to check the Parish website frequently for any possible addenda that may be issued. The Parish is not responsible for a proposer's failure to download any addenda documents required to complete an RFP.

Responses will be received by the Department of Procurement, until **2:00 p.m. CST Monday, August, 23rd, 2021**. RFP responses will be opened publicly at the physical location as stated in Section 1.4 of the RFP documents and only respondents who have submitted an RFP response shall be identified aloud. Prices shall not be read. Each response will be evaluated by designated Parish personnel after the submission deadline and public opening has passed.

Each Proposal must be sealed. The outside of the envelope, box, or package should be marked with the Proposer's Name and Address, the Proposal Name, the RFP #, and the Proposal Opening Date.

The successful Respondent must supply St. Tammany Parish Government with all required documentation as specified in the RFP documents. Said Respondent must also be in Good Standing and licensed to do business in the State of Louisiana.

Proposals will be received at the St. Tammany Parish Government Department of Procurement Office, 21454 Koop Dr., Suite 2F, Mandeville LA., 70471 from each Respondent or his agent, or by certified mail with return receipt requested.

Procurement Department

REQUEST FOR PROPOSAL

ST. TAMMANY PARISH GOVERNMENT

Auditing Services



RFP Number: 21-14-3

Proposal Opening Date: Monday, August 23, 2021

Proposal Opening Time: 2:00 PM

July 7, 2021

TABLE OF CONTENTS

PART I: OVERVIEW	1
1.1 Background/Purpose	1
1.2 Definitions	1
1.3 Schedule of Events	2
1.4 Proposal Submittal	2
1.5 Proposal Response Format	3
PART II: SCOPE OF WORK/SERVICES	5
2.1 Scope of Work/Services	5
2.2 Period of Agreement	12
2.3 Price Schedule	12
2.4 Deliverables	13
2.5 Location	13
PART III: EVALUATION	13
PART IV: PERFORMANCE STANDARDS	14
4.1 Performance Requirements	14
4.2 Performance Measurement/Evaluation	16
PART V: GENERAL PROVISIONS	16
5.1 Legibility/Clarity	16
5.2 Confidential Information, Trade Secrets, and Proprietary Information	17
5.3 Proposal Clarifications Prior to Submittal	18
5.3.1 Pre-proposal Conference	18
5.3.2 Proposer Inquiry Periods	18
5.4 Errors and Omissions in Proposal	19
5.5 Proposal Guarantee	19
5.6 Performance Bond	19
5.7 Changes, Addenda, Withdrawals	19
5.8 Withdrawal of Proposal	20
5.9 Material in the RFP	20
5.10 Waiver of Administrative Informalities	20
5.11 Proposal Rejection	20
5.12 Ownership of Proposal	20
5.13 Cost of Offer Preparation	20
5.14 Non-negotiable Contract Terms	21
5.15 Taxes	21
5.16 Proposal Validity	21
5.17 Prime Contractor Responsibilities	21
5.18 Use of Subcontractors	21
5.19 Written or Oral Discussions/Presentations	22
5.20 Acceptance of Proposal Content	22
5.21 Evaluation and Selection	22
5.22 Contract Negotiations	23
5.23 Contract Award and Execution	23
5.24 Acknowledgment and Waiver of Protest Rights	23

5.25	Notice of Intent to Award.....	23
5.26	Insurance Requirements.....	24
5.27	Subcontractor Insurance.....	24
5.28	Indemnification and Limitation of Liability	24
5.29	Fidelity Bond Requirements.....	25
5.30	Payment.....	25
5.30.1	Payment for Services.....	25
5.31	Termination.....	26
5.31.1	Termination of the Contract for Cause.....	26
5.31.2	Termination of the Contract for Convenience	26
5.31.3	Termination for Non-Appropriation of Funds.....	26
5.32	Assignment	27
5.33	No Guarantee of Quantities	27
5.34	Audit of Records	27
5.35	Civil Rights Compliance	27
5.36	Record Retention.....	28
5.37	Record Ownership	28
5.38	Content of Contract/ Order of Precedence	28
5.39	Contract Changes.....	28
5.40	Substitution of Personnel	28
5.41	Governing Law.....	29
5.42	Anti-Kickback Clause	29
5.43	Clean Air Act.....	29
5.44	Energy Policy and Conservation Act.....	29
5.45	Clean Water Act.....	29
5.46	Anti-Lobbying and Debarment Act	29

Attachment “A” – Additional Schedules and Proposal Pricing Sheet

Attachment “B” – Sample Contract

Attachment “C” – Acknowledgment and Waiver

Attachment “D” – Insurance Requirements

Attachment “E” – Hold Harmless Agreement

Attachment “F” – Affidavits

Attachment “G” - Sample Scoring Matrix

REQUEST FOR PROPOSAL FOR

Auditing Services

PART I: OVERVIEW

1.1 Background/Purpose

The purpose of this Request for Proposal (RFP) is to obtain proposals from qualified Proposers of certified public accountants who are interested in auditing the financial statements of the Parish for the fiscal year ending December 31, 2021, and for each of the four (4) subsequent fiscal years. These audits are to be performed in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in Government Auditing Standards, issued by the Comptroller General of the United States; the audit requirements of Title 2 U.S. Code of Federal Regulations part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; and the OMB Compliance Supplement. Submittal of a proposal does not create any right or expectation to a contract with the Parish.

1.2 Definitions

- A. Shall – The term “shall” denotes mandatory requirements.
- B. Must - The term “must” denotes mandatory requirements.
- C. May - The term “may” denotes an advisory or permissible action.
- D. Should – The term “should” denotes a desirable action.
- E. Contractor – A Proposer who contracts with the Parish.
- F. Parish - St. Tammany Parish Government.
- G. Discussions- For the purposes of this RFP, a formal, structured means of conducting written or oral communications/presentations with responsible Proposers who submit proposals in response to this RFP.
- H. RFP – Request for Proposal.
- I. Proposer – Person or entity responding to this RFP.
- J. Agreement – A contract between the Contractor and the Parish.

K. Evaluation Committee – Committee established for the purposes of evaluating proposals submitted in response to this RFP.

1.3 Schedule of Events

	<u>Date</u>	<u>Time (CT)</u>
1. RFP Available	July 28, 2021	8:00 AM
2. Pre-Proposal Conference (if required):*		Not applicable
3. Deadline to receive written inquiries	August 12, 2021	2:00 PM
4. Deadline to answer written inquiries	August 18, 2021	2:00 PM
5. Proposal Opening Date (deadline for submitting proposals)	August 23, 2021	2:00 PM
6. Oral discussions with proposers, if applicable		TBD
7. Notice of Intent to Award to be mailed		TBD
8. Contract Initiation		TBD

NOTE: The Parish reserves the right to revise this schedule. Any such revision will be formalized by the issuance of an addendum to the RFP.

1.4 Proposal Submittal

This RFP is available online at <http://www.stpgov.org>. It is the Proposer's responsibility to check the Parish website frequently for any possible addenda that may be issued. The Parish is not responsible for a proposer's failure to download any addenda documents required to complete an RFP.

All proposals shall be received by the Procurement Department **no later than the date and time shown in the Schedule of Events.**

Important - - Clearly mark outside of the sealed envelope, box or package with the following information and format:

- X Name and Address of Proposer
- X Proposal Name: Auditing Services

- X **RFP #: 21-14-3**
- X **Proposal Opening Date: Monday, August 23, 2021**

Proposals may only be sent via certified mail, hand-delivery or courier service to our physical location at:

St. Tammany Parish Government Procurement Department
21454 Koop Drive, Suite 2F
Mandeville, Louisiana 70471

Proposer is solely responsible for ensuring that its courier service provider makes inside deliveries to our physical location. The Parish is not responsible for any delays caused by the proposer's chosen means of proposal delivery.

Proposer is solely responsible for the timely delivery of its proposal. Failure to meet the proposal opening date and time shall result in rejection of the proposal.

PROPOSALS SHALL BE OPENED PUBLICLY AT THE PHYSICAL LOCATION IDENTIFIED ABOVE AND ONLY PROPOSERS SUBMITTING PROPOSALS SHALL BE IDENTIFIED ALOUD. PRICES SHALL NOT BE READ.

1.5 Proposal Response Format

Proposals submitted for consideration should follow the format and order of presentation described below:

- A. **Cover Letter:** The cover letter should exhibit the Proposer's understanding and approach to the project. It should contain a summary of Proposer's ability to perform the services described in the RFP and confirm that Proposer is willing to perform those services and enter into a contract with the Parish.

ATTENTION: Please indicate in the Cover Letter which of the following applies to the signer of this proposal. Evidence of signature authority shall be provided upon the Parish's request.

1. The signer of the proposal is either a corporate officer who is listed on the most current annual report on file with the secretary of state **or** a member of a partnership or partnership in commendam as reflected in the most current partnership records on file with the secretary of state. **A copy of the annual report or partnership record must be submitted to the Parish before contract award.**
2. The signer of the proposal is a representative of the Proposer authorized to submit this proposal as evidenced by documents such as, corporate resolution, certification as to corporate principal, etc. **If this applies, a copy of the resolution, certification or other supportive documents must be submitted to the Parish before contract award.**

The cover letter should also:

1. Identify the submitting Proposer and provide its federal tax identification number;
2. Identify the name, title, address, telephone number, fax number, and email address of each person authorized by the Proposer to contractually obligate the Proposer; and
3. Identify the name, address, telephone number, fax number, and email address of the contact person for technical and contractual clarifications throughout the evaluation period.

- B. **Table of Contents:** Organized in the order cited in the format contained herein.
- C. **Proposer Qualifications and Experience:** History and background of Proposer, related services provided to government entities, existing customer satisfaction, volume of merchants, etc. The proposal should state the size of the firm, the size of the firm's governmental audit staff, the location of the office from which the work on this engagement is to be performed, and the number and nature of the professional staff to be employed in this engagement on a full-time basis and the number and nature of the staff to be employed on a part-time basis. Proposer should specifically provide a description of all relevant assignments similar to the Project requested herein which have been completed by the Proposer within the last five (5) years ("Recent Projects").

The description of any such Recent Projects should include the following:

1. Name of the client;
 2. Year of the assignment and length of time to complete the project;
 3. Nature of the services rendered; and
 4. Professionals assigned to the project who are also proposed to serve on this assignment.
- D. **Proposed Solution/Technical Response:** Illustrating and describing proposed technical solution and compliance with the RFP requirements.
- E. **Innovative Concepts:** Presentation of innovative concepts, if any, for consideration.
- F. **Project Schedule:** Detailed schedule of implementation plan. This schedule is to include implementation actions, timelines, responsible parties, etc.
- G. **Financial Proposal:** **Proposer's fees and other costs, if any, shall be submitted on Attachment "A"**. Prices proposed shall be firm for the duration of the contract. This financial proposal shall include any and all costs the Proposer wishes to have considered in the contractual arrangement with the Parish.
- H. **References:** Proposer should provide names, addresses, telephone numbers and contact persons for three (3) other public jurisdictions for which comparable services have recently been rendered, including a description of the services provided.

- I. **Customer Service:** Each Proposer should submit a provision for customer service, including personnel assigned, toll-free number, and account inquiry, etc.
- J. **Resumes:** Each Proposer shall submit resumes for the principal supervisory and management staff, including engagement partners, managers, other supervisors, and specialists to be assigned to this Project, including those of subcontractors, if any.
- K. **Financial Stability Statement:** Each Proposer should submit information demonstrating the Proposer's financial stability (financial statements, annual reports, or similar data for the last three years).
- L. **Additional Information:** Each Proposer should submit any other information deemed pertinent by the Proposer including terms and conditions which the Proposer wishes the Parish to consider.
- M. **Acknowledgment and Waiver:** Proposer shall execute and have notarized an Acknowledgment and Waiver (Attachment "C" hereto).
- N. **Multiple Copies of Response:** Each Proposer shall submit one (1) signed original response. Four (4) additional copies of the proposal should be provided, as well as one (1) redacted copy, if applicable (See Section 5.2), and one (1) electronic copy via USB or CD.

PART II: SCOPE OF WORK/SERVICES

2.1 Scope of Work/Services

The Parish desires the auditor to express opinions on the fair presentation of its financial statements of the governmental activities, the business-type activities, the aggregate discretely presented component units, each major fund, and the aggregate remaining fund information and the related notes to the financial statements which collectively comprise the Parish's basic financial statements in conformity with accounting principles generally accepted in the United States of America.

The auditor is to provide an "in relation to" opinion on the combining and individual non-major fund financial statements, the non-major component unit financial statements, the budgetary comparison schedules for non-major special revenue funds, non-major debt service funds, non-major permanent funds, and major and non-major capital projects funds, the schedules in the other supplementary information section, and the schedule of expenditures of federal awards based on the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America.

The auditor is not required to express an opinion or provide any assurance on the introductory and statistical sections of the report.

The auditor is required to apply certain limited procedures to the required supplementary information, consisting of management's discussion and analysis, the budgetary comparison information, the schedule of the Parish's proportionate share of net pension liability, and schedule of the Parish's contributions, in accordance with auditing standards generally accepted in the United States of America, which consists of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to inquiries, the basic financial statements, and other knowledge obtained during the audit of the basic financial statements and is not required to express an opinion or provide any assurance.

The auditor is required to consider the Parish's internal control over financial reporting to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Parish's internal control over financial reporting.

The auditor is required to perform tests of the Parish's compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts, but not for the purpose of expressing an opinion on the Parish's compliance.

The auditor is required to express an opinion on compliance for each of the Parish's major federal programs based on the audit of the types of compliance requirements described in the *OMB Compliance Supplement* that could have a direct and material effect on each of the Parish's major federal programs.

The auditor is required to consider the Parish's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with the audit requirements of Title 2 U.S. Code of Federal Regulations part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards*, but not for the purpose of expressing an opinion on the effectiveness of the Parish's internal control over compliance.

- A. **Standards to be Followed:** To meet the requirements of this request for proposals, the audit shall be performed in accordance with:
- auditing standards generally accepted in the United States of America,
 - the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States,
 - the audit requirements of Title 2 U.S. Code of Federal Regulations part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards*,
 - the *OMB Compliance Supplement*, and
 - standards as set forth by the St. Tammany Parish Home Rule Charter, Louisiana state law, the Louisiana Governmental Audit Guide, federal law,

grant requirements, bond requirements, and/or other legal mandates or contractual requirements.

B. **Reports to be Issued and Required Reporting:** Following the completion of the audit of the fiscal year's financial statements, the auditor shall issue:

- A report on the fair presentation of the basic financial statements in conformity with accounting principles generally accepted in the United States of America.
- A report on internal control over financial reporting and on compliance and other matters based on an audit of financial statements performed in accordance with *Government Auditing Standards*.
- A report on compliance for each major federal program and on internal control over compliance required by the *Uniform Guidance*.
- A schedule of findings and questioned costs prepared in accordance with Title 2 U.S. Code of Federal Regulations part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards*.
- A management letter indicating deficiencies or opportunities for accounting and reporting improvements, if applicable.
- The Federal Data Collection Form (Form SF-SAC), including transmission (uploading) to the Federal Audit Clearinghouse.
- The State Data Collection Form, including transmission (uploading) to the Louisiana Legislative Auditor's Local Government Reporting System.
- The auditor shall be required to make an immediate, written report of all irregularities and/or illegal acts or indications of illegal acts of which they become aware to the following parties:
 - i. Members of the Parish Council and Parish Administration.
 - ii. The District Attorney of the Twenty-Second Judicial District.
 - iii. The Louisiana Legislative Auditor.
 - iv. The federal cognizant agency and the applicable grantor or oversight body.
- The auditors shall assure themselves that the Parish's Chief Financial Officer is informed of each of the following:
 - i. The auditor's responsibility under generally accepted auditing standards.
 - ii. Significant accounting policies.
 - iii. Management's judgments and accounting estimates.
 - iv. Significant audit adjustments and passed audit adjustments.
 - v. Other information in documents containing audited financial statements.
 - vi. Disagreements with management.
 - vii. Management's consultation with other accountants.
 - viii. Major issues discussed with management prior to retention.
 - ix. Difficulties encountered in performing the audit.

C. **Special Considerations:** The Parish will send its comprehensive annual financial report to the Government Finance Officers Association (GFOA) of the United States and Canada for review in its Certificate of Achievement for Excellence in Financial Reporting program. It is anticipated that the auditor may be required to provide special assistance to the Parish to meet the requirements of this program. The auditor will be required to complete the GFOA checklist for compliance and provide comments to the Parish.

In the event the Parish prepares one or more official statements in connection with the sale of debt securities, which will contain the comprehensive annual financial report and the auditor's reports thereon, the auditor shall be required, if requested by the fiscal advisor, bond attorney, and/or underwriter, to issue a "consent and citation of expertise" as the auditor and any necessary "comfort letters."

The Schedule of Expenditures of Federal Awards and related auditor's reports are to be issued as part of the comprehensive annual financial report. The cognizant federal agencies providing funding to the Parish in 2020 are listed in Attachment "A" hereto.

There are no anticipated difficulties in implementing or complying with any requirements mandated by the Governmental Accounting Standards Board (GASB). The Parish will implement all Governmental Accounting Standards Board statements within the prescribed effective dates; however, the Parish may choose to "early implement" some of those standards, at its sole discretion. The auditor's assistance and consultation will be required in implementing new GASB statements at the earliest possible date.

- D. **Working Paper Retention and Access to Working Papers:** All working papers and reports must be retained, at the auditor's expense, for a minimum of five (5) years, unless the firm is notified by the Parish of the need to extend the retention period. The auditor will be required to make working papers available, upon request, to the following parties or their designees:
- St. Tammany Parish Government.
 - Louisiana Legislative Auditor.
 - U.S. Government Accountability Office.
 - Parties designated by the federal or state governments or other regulatory agencies as part of an audit quality review process.
 - Auditors of entities of which the Parish is a sub-recipient of grant funds.

In addition, the firm shall respond to the reasonable inquiries of successor auditors and allow successor auditors to review working papers relating to matters of continuing accounting significance.

- E. **Principal Contact:** The auditor's principal contact person with the Parish during the engagement will be the Chief Financial Officer, or a designated representative, who will coordinate the assistance to be provided by the Parish and by the Finance Department to the auditor. An organizational chart is available in the Parish's CAFR, which is available on the Parish's website: www.stpgov.org; and a list of elected officials and key personnel is provided in Attachment "A" hereto.

The final audit reports shall be submitted to the Parish Council.

The staff of the Department of Finance and responsible management personnel will be available during the audit to assist the auditor by providing information, documentation, and explanations. In addition, reasonable clerical support will be made available to the auditor for the preparation of letters and memoranda.

- F. **Background Information:** The citizens of St. Tammany Parish voted and adopted a Home Rule Charter for a “president-council” type of government on October 3, 1998. The financial reporting entity for the Parish is governed by an elected president and a fourteen-member council. Each term of office is four years.

The annual comprehensive financial statements present the Parish and its component units, entities for which the government is considered to be financially accountable as determined by the criteria established by the Governmental Accounting Standards Board (GASB). Based on GASB criteria, the Parish includes 29 “discretely presented component units” in its annual financial report.

The Parish employs approximately 706 full and part time employees and had a total payroll of approximately \$24.9 million last year. For fiscal year 2020, the Parish had primary government and component unit general revenues of approximately \$212.3 million, primary government program revenues of approximately \$78.9 million, and component unit program revenues of approximately \$10.7 million. Included in the primary government and component unit program revenues is approximately \$32.8 million and \$7.1 million in charges for services, respectively.

More detailed information on the Parish and its finances can be found in its budget documents and its comprehensive annual financial reports. Prior years’ comprehensive annual financial reports are available on the Parish’s website: www.stpgov.org.

1. **Fund Structure:** The Parish uses the following fund types and account groups in its financial reporting for 2020.

<u>Fund Type/Account Group</u>	<u>No. of Individual Funds</u>
<i><u>Major Funds</u></i>	
General Fund	1
Special Revenue Funds	3
Capital Projects Funds	1
Enterprise Funds	1
<i><u>Non Major Funds</u></i>	
Special Revenue Funds	35
Debt Service Funds	5
Capital Projects Funds	43

<u>Fund Type/Account Group</u>	<u>No. of Individual Funds</u>
Permanent Fund	1
Enterprise Fund	1
Internal Service Funds	13
Capital Asset Account Group/ Long term Liabilites Account Group	1

The Parish legally adopts budgets for all of its funds, excluding some internal service funds which account for insurance. The Parish also had 3 Major Component Units and 27 Non-Major Component Units.

2. **Budgetary Basis of Accounting:** The Parish prepares its budgets for the General Fund, the Special Revenue Funds, the Debt Service Funds, the Permanent Funds, and the Capital Projects Funds on the modified accrual basis of accounting consistent with generally accepted accounting principles. The budgets for the Internal Service Funds and the Enterprise Fund are prepared on the accrual basis of accounting consistent with generally accepted accounting principles.
3. **Pension Plans:** Substantially all employees of the financial reporting entity are members of one of the following cost-sharing, multiple-employer defined benefit pension plans, each administered by separate board of trustees:

<u>Entity</u>	<u>Retirement System</u>
St. Tammany Parish Government	Parochial Employees' Retirement System (Parochial Plan A)
St. Tammany Parish Coroner	Parochial Employees' Retirement System (Parochial Plan A)
St. Tammany Parish Library	Parochial Employees' Retirement System (Parochial Plan A)
Recreation District No. 1	Parochial Employees' Retirement System (Parochial Plan A)
Recreation District No. 11	Parochial Employees' Retirement System (Parochial Plan A)
Mosquito Abatement District No. 2	Parochial Employees' Retirement System (Parochial Plan A)
Communication District No. 1	Parochial Employees' Retirement System (Parochial Plan A)
Fire Protection District No. 4	Parochial Employees' Retirement System (Parochial Plan A)
Fire Protection Districts	Louisiana Firefighters' Retirement System (Firefighters)

4. **Component Units:** The Parish includes all funds and activities that are within its oversight responsibility as required by the Governmental Accounting Standards Board (GASB) Codification Section 2100 and is, therefore, considered to be the reporting entity. Accordingly, the Parish is not included in any other governmental reporting entity.
5. **Joint Ventures and Public-Private Partnerships:** Currently, the Parish does not participate in joint ventures or public-private partnerships with other entities; it may, however, at some time during the five year term of the anticipated audit contract, enter into such an arrangement.
6. **Magnitude of Finance Operations:** The Department of Finance is headed by the Chief Financial Officer, and consists of 15 employees. The principal functions and the number of employees assigned to each are as follows:

<u>Function</u>	<u>Number of Employees</u>
Accounts Payable and Receivable	4
Accounting and Financial Reporting	4
Administrative	1
Budget	1

Data Management	2
Supervision	3

7. **Management Information Systems:** The Parish's general ledger records are fully computerized utilizing Tyler's MUNIS Accounting Software. Data Management and the Department of Information Technology are available for any special requirements.

G. Timing Requirements:

It is anticipated that preliminary audit work may be performed during the period January 1st through January 31st of each year audited, or other dates agreed upon by the auditor and the Parish. The Parish will have records ready for audit by March 31st of each year.

The proposal shall include a time schedule showing the anticipated completion date of interim work, the completion date of audit field work, and the date that the draft reports and recommendations to management will be available for review by the Chief Financial Officer. The proposal shall also include both a detailed audit plan and a list of all schedules to be prepared by the Parish along with the date each schedule shall be required.

At a minimum, the proposal shall include a time schedule for the following conferences:

1. Entrance conference with Chief Financial Officer, Assistant Director of Finance, and Senior Accounting Manager.
2. Progress conferences with the Chief Financial Officer and Assistant Director of Finance and other department heads of key offices or programs for the purpose of summarizing the results of the preliminary review, to identify the key internal controls or other matters to be tested, and to discuss the year end work to be performed.
3. Exit conference with the Parish President, Parish Council, Chief Financial Officer, Assistant Director of Finance, and department heads of key offices or programs for the purpose of summarizing the results of the fieldwork and to review significant findings.

The Finance Department staff shall prepare draft financial statements, notes, and all required supplementary schedules and statistical data as they are prepared throughout the engagement period of each year. The auditor shall provide all recommendations, revisions, and suggestions for improvement of the draft financial statements to the Chief Financial Officer by May 31st of each year. The draft auditor's reports shall be delivered to the Chief Financial Officer by June 7th of each year.

Final report preparation, printing, and duplication shall be the responsibility of the Parish. The auditor shall be required to provide editing and proofreading services for the preparation of the final report. It is the desire of the Parish to issue the final reports by June 15th of each year. State law requires that final reports must be delivered to the Louisiana Legislative Auditor's office by

June 30th of each year. Program guidelines require reports to be submitted to GFOA for consideration of the Certificate of Excellence in Financial Reporting by June 30th of each year.

2.2 Period of Agreement

A three (3) year contract is contemplated with two (2) one-year options (for a total of five years), subject to the concurrence of the Parish Council, and the annual approval of the terms and conditions of the contract/engagement letter by the Louisiana Legislative Auditor.

The term of any contract resulting from this solicitation shall begin on the date of the Chairman of the Parish Council's signature or approval in writing by the Chairman of the Parish Council or designee. The contract shall terminate pursuant to the terms and conditions of section 5.31 herein, the terms and conditions of the contract, operation of law, as agreed between the parties, or upon satisfactory completion of all services and obligations described in the contract.

2.3 Price Schedule

Prices proposed by the Proposers shall be firm for the term of the contract. The price proposed should contain all pricing information relative to performing the engagements as described in this request for proposals. The total all-inclusive maximum price to be proposed is to contain all direct and indirect costs including all out-of-pocket expenses. The Parish will not be responsible for expenses incurred in preparing and submitting the proposal. Such costs should not be included in the proposal.

The prices proposed should include a schedule of professional fees and expenses, by each of the five (5) fiscal years anticipated, (including out-of-pocket expenses such as travel, lodging, subsistence) itemized by each individual to be assigned to the engagement that supports the total all-inclusive maximum price. The schedule should also list the number of hours and the hourly rate for each individual assigned to the engagements. The cost of any special services described in Section 2.1 of this request for proposals should be disclosed as separate components of the total all-inclusive maximum price. All expense reimbursements will be charged against the total all-inclusive maximum price submitted by the firm.

The scope of the audit and the cost estimates presented should include all approved pronouncements at the date of this request for proposals. Although some pronouncements will not be in effect until after the first of the year of the audit, estimates for future years should include pronouncements that will become effective during the contract period.

The Proposers should specify the percentage of the total all-inclusive maximum price for each year to be allocated to the audit of federal programs of the Parish.

If it should become necessary for the Parish to request the Proposers to render any additional services to either supplement the services in this request for proposals or to perform additional work as a result of the specific recommendations included in any report issued on this engagement, then such additional work shall be performed only if set forth in an addendum to the contract between the Parish and the Proposers. Any such additional work agreed to between the

Parish and the Proposers shall be performed at the same rates set forth in the schedule of fees and expenses included in the dollar cost proposal.

2.4 Deliverables

The deliverables listed in this section are the minimum desired from the successful Proposer. Every Proposer should describe what deliverables will be provided per their proposal and how the proposed deliverables will be provided.

2.5 Location

The location the service is to be performed, completed and managed is at the Finance Department of the Parish, 21454 Koop Drive, Suite 2-F-1, Mandeville, LA 70471.

PART III: EVALUATION

The evaluation committee shall assign points to its evaluation of each Proposal as follows:

Evaluation Criteria	Possible Points
Compliance with the RFP	10
Ability to perform within the stated timeframe	10
Technical Qualifications: Past Experience and Performance on Audits of Governments in the State of Louisiana	20
Technical Qualifications: Past Experience and Performance on Audits of Comparable Governments in Other States	5
Technical Qualifications: Past Experience and Performance on Other Governmental Attest or Assurance Engagements	5
Technical Qualifications: Quality of the Firm's Professional Personnel	10
Technical Qualifications: Policies and Procedures	5
Technical Qualifications: Specific Audit Approach	20
Technical Qualifications: Identification of Anticipated Potential Audit Problems	5
Overall costs and fees to be charged	10
Total	100

The proposal will be evaluated in light of the material and the substantiating evidence presented to the Parish, not on the basis of what may be inferred.

The scores will be combined to determine the overall score. The Proposer with the highest overall score will be recommended for award.

PART IV: PERFORMANCE STANDARDS

4.1 Performance Requirements

A. Mandatory Elements

1. **Independence:** The Proposer should provide an affirmative statement that it is independent of the Parish as defined by the U.S. Government Accountability Office's *Government Auditing Standards* 2018 revision.
2. **License to Practice in the State of Louisiana:** The Proposer should provide an affirmative statement that all assigned key professional staff are properly licensed to practice in the State of Louisiana.
3. **Continuing Professional Education:** The Proposer should provide an affirmative statement that all assigned key professional staff have received adequate continuing professional education within the preceding two (2) years.
4. **Conflicts of Interest:** The Proposer should provide an affirmative statement that there are no conflicts of interest or disclose any potential conflicts of interest between the proposed audit firm or audit team members and St. Tammany Parish Government or its discretely presented component units (including their programs and projects). Describe any consulting or other arrangements/agreements with same, if any. Describe the firm's procedure(s) for when such conflicts arise during an engagement.
5. **Quality Control:** The Proposer should provide a copy of its most recent external quality control review report, and an affirmative statement that the Proposer has a record of quality audit work.

The Proposer shall also provide information on the results of any federal or state desk reviews or field reviews of its audits during the past three (3) years. In addition, the firm shall provide information on the circumstances and status of any disciplinary action taken or pending against the firm during the past three (3) years with state regulatory bodies or professional organizations.

B. Technical Qualifications

1. **Past Experience and Performance on Audits of Governments in the State of Louisiana:** For the firm's office that will be assigned responsibility for the audit, provide a list of no more than ten (10) Louisiana governmental audit engagement clients from the past five years, as well as the date, engagement partners, total hours, the location of the firm's office from which the engagement was performed, and the name and contact information, including telephone number, of the principal client contact. Describe the firm's past experience in assisting governmental clients with impacts of new or proposed regulations or accounting standards, environmental impacts (disasters), socio-

economic impacts, or other impacts which could affect the government's financial reporting, etc. Provide letters of reference from past or current clients which describe the audit firm's ability to: maintain a proposed audit schedule/deadlines, complete engagement at or under budget, and ability of firm to minimize the impact of the audit process on business operations.

2. **Past Experience and Performance on Audits of Comparable Governments in Other States:** For the firm's office that will be assigned responsibility for the audit, provide a list of no more than ten (10) governmental audit, attest or assurance engagements from the past five years. Highlight any assistance with the impacts (described above) to the governments.
3. **Past Experience and Performance on Other Governmental Attest or Assurance Engagements (including but not limited to, forensic audits, operational audits, and/or compliance audits):** For the firm's office that will be assigned responsibility for the audit, provide a list of no more than ten (10) other government attest or assurance engagements from the past five years. Provide the name and contact information of the client, as well the type of engagement performed with approximate size and length of engagement.
4. **Quality of the Firm's Professional Personnel:** The Proposer should identify the principal supervisory and management staff, including engagement partners, managers, other supervisors, and specialists, who would be assigned to the engagement and provide their curriculum vitae or resumes. The firm also should provide information on the governmental auditing experience of each assigned person, including the course titles of CPE completed, for the past two (2) years as required by the U.S. Government Accountability Office's *Government Auditing Standards* and membership in professional organizations relevant to the performance of this audit, especially organizations involved with audit quality. The proposal should also include any additional memberships in AICPA (or other) resource centers, as well as any staff who are on the Boards of these organizations. All staff to be employed in this engagement must have at least two years of governmental or IT audit experience.
5. **Policies and Procedures:** The Proposer should describe the firm's policy and procedures, if any, for ensuring independence, including but not limited to, lead auditor rotation, audit team rotation, etc. Include the maximum number of consecutive years engaged by the same audit client(s).
6. **Specific Audit Approach:** The proposal should set forth a work plan, including an explanation of the audit methodology to be followed, to perform the services required in Part II of this request for proposals. In developing the work plan, reference should be made to such sources of information as the Parish's budget and related materials, organizational charts, manuals and programs, and financial and other management information systems. Proposers will be required to provide the following information on their audit approach:
 - a. Proposed segmentation of the engagement, with the level of staff and number of hours to be assigned to each proposed segment of

the engagement. Describe the level of onsite and offsite work, as well as the level of Parish departmental staff involvement needed.

- b. Sample sizes and the extent to which statistical sampling is to be used in the engagement.
- c. Extent of use of Electronic Data Processing (EDP) software or technology in sampling and testing procedures, including data mining for analysis, pattern review, etc.
- d. Type and extent of analytical procedures to be used in the engagement.
- e. Approach to be taken to gain and document an understanding of the Parish's internal control structure.
- f. Approach to be taken in determining laws and regulations that will be subject to audit test work.
- g. Balance between "reviews of controls" and "substantive testing" and rationale.

7. **Identification of Anticipated Potential Audit Problems:** The proposal should identify and describe any anticipated potential audit problems, the firm's approach to resolving these problems, and any special assistance that will be requested from the Parish. The Proposer should discuss any "hot" issues/pitfalls (which have faced other local govts throughout US), which may/could affect the Parish in the future and their ability to assist the government with such items.

4.2 Performance Measurement/Evaluation

The purpose of the technical proposal is to demonstrate the qualifications, competence and capacity of the firms seeking to undertake an independent audit of the Parish in conformity with the requirements of this request for proposals. As such, the substance of proposals will carry more weight than their form or manner of presentation. The technical proposal should demonstrate the qualifications of the firm and of the particular staff to be assigned to this engagement. It should also specify an audit approach that will meet this request for proposal requirements. The technical proposal should address all the points outlined in this request for proposals. The proposal should be prepared simply and economically, providing a straight forward, concise description of the proposer's capabilities to satisfy the requirements of this request for proposals.

PART V: GENERAL PROVISIONS

5.1 Legibility/Clarity

Responses to the requirements of this RFP in the formats requested are desirable with all questions answered in as much detail as practicable. The Proposer's response is to demonstrate an understanding of the requirements. Proposals prepared simply and economically, providing a straightforward, concise description of the Proposer's ability to meet the requirements of the RFP is also desired. Each Proposer is solely responsible for the accuracy and completeness of its proposal.

5.2 Confidential Information, Trade Secrets, and Proprietary Information

The designation of certain information as trade secrets and/or privileged or confidential proprietary information shall only apply to the technical portion of the proposal. The cost proposal will not be considered confidential under any circumstance. Any proposal copyrighted or marked as confidential or proprietary in its entirety may be rejected without further consideration or recourse.

For the purposes of this procurement, the provisions of the Louisiana Public Records Act (LSA-R.S. 44.1, *et. seq.*) will be in effect. Pursuant to this Act, all proceedings, records, contracts, and other public documents relating to this procurement shall be open to public inspection. Proposers are reminded that while trade secrets and other proprietary information they submit in conjunction with this procurement may not be subject to public disclosure, protections must be claimed by the Proposer at the time of submission of its Technical Proposal. Proposers should refer to the Louisiana Public Records Act for further clarification.

The Proposer must clearly designate the part of the proposal that contains a trade secret and/or privileged or confidential proprietary information as "confidential" in order to claim protection, if any, from disclosure. The Proposer shall mark the cover sheet of the proposal with the following legend, specifying the specific section(s) of his proposal sought to be restricted in accordance with the conditions of the legend:

"The data contained in pages _____ of the proposal have been submitted in confidence and contain trade secrets and/or privileged or confidential information and such data shall only be disclosed for evaluation purposes, provided that if a contract is awarded to this Proposer as a result of or in connection with the submission of this proposal, the Parish of St. Tammany shall have the right to use or disclose the data therein to the extent provided in the contract. This restriction does not limit the Parish of St. Tammany's right to use or disclose data obtained from any source, including the Proposer, without restrictions."

Further, to protect such data, each page containing such data shall be specifically identified and marked "CONFIDENTIAL".

Proposers must be prepared to defend the reasons why the material should be held confidential. If a competing proposer or other person seeks review or copies of another proposer's confidential data, the Parish will notify the owner of the asserted data of the request. If the owner of the asserted data does not want the information disclosed, it must agree to indemnify the Parish and hold the Parish harmless against all actions or court proceedings that may ensue (including attorney's fees), which seek to order the Parish to disclose the information. If the owner of the asserted data refuses to indemnify and hold the state harmless, the Parish may disclose the information.

The Parish reserves the right to make any proposal, including proprietary information contained therein, available to Parish personnel, the Parish Council, or other Parish and state agencies or organizations for the sole purpose of assisting the Parish in its evaluation of the proposal. The Parish shall require said individuals to protect the confidentiality of any specifically identified proprietary information or privileged business information obtained as a result of their participation in these evaluations.

If your proposal contains confidential information, you should also submit a redacted copy along with your proposal. If you do not submit the redacted copy, you will be required to submit this copy within 48 hours of notification from the Procurement Department. When submitting your redacted copy, you should clearly mark the cover as such - "REDACTED COPY" - to avoid having this copy reviewed by an evaluation committee member. The redacted copy should also state which sections or information have been removed.

5.3 Proposal Clarifications Prior to Submittal

5.3.1 Pre-proposal Conference

Omitted as not applicable to this RFP

5.3.2 Proposer Inquiry Periods

The Parish shall not and cannot permit an open-ended inquiry period, as this creates an unwarranted delay in the procurement cycle and Parish operations. The Parish reasonably expects and requires *responsible and interested* proposers to conduct their in-depth proposal review and submit inquiries in a timely manner.

An inquiry period is hereby firmly set for all interested Proposers to perform a detailed review of the proposal documents and to submit any written inquiries relative thereto. *Without exception*, all inquiries MUST be submitted in writing by an authorized representative of the Proposer, clearly cross-referenced to the relevant solicitation section (even if an answer has already been given to an oral question during a Pre-proposal Conference). All inquiries must be received by the close of business on the Inquiry Deadline date set forth in Section 1.3 Schedule of Events of this RFP. Only those inquiries received by the established deadline shall be considered by the Parish. Inquiries received after the established deadline shall not be entertained.

Inquiries concerning this solicitation may be delivered by e-mail or hand-delivery to:

St. Tammany Parish Government Procurement Department
Attn: Procurement
21454 Koop Drive, Suite 2F
Mandeville, Louisiana 70471

E-Mail: purchasing@stpgov.org

An addendum will be issued and posted at the Parish website, www.stpgov.org, to address all inquiries received and any other changes or clarifications to the solicitation. Thereafter, all proposal documents, including but not limited to the specifications, terms, conditions, plans, etc., will stand as written and/or amended by any addendum. No negotiations, decisions, or actions shall be executed by any Proposer as a result of any oral discussions with any Parish employee or Parish consultant. It is the Proposer's responsibility to check the Parish website frequently for any possible addenda that may be issued. The Parish is not responsible for a Proposer's failure to download any addenda documents required to complete an RFP.

Proposer shall be aware that this RFP is not subject to the Louisiana Public Bid Law or the Louisiana Procurement Code. As such, Proposers are not provided an opportunity to protest the process or results of this RFP.

5.4 Errors and Omissions in Proposal

The Parish will not be liable for any error in the proposal. Proposer will not be allowed to alter proposal documents after the deadline for proposal submission, except under the following condition: the Parish reserves the right to make corrections or clarifications due to patent errors identified in proposals by the Parish or the Proposer. The Parish, at its option, has the right to request clarification or additional information from the Proposer.

5.5 Proposal Guarantee

Omitted as not applicable to this RFP

5.6 Performance Bond

Omitted as not applicable to this RFP

5.7 Changes, Addenda, Withdrawals

The Parish reserves the right to change the Schedule of Events or issue Addenda to the RFP at any time. The Parish also reserves the right to cancel or reissue the RFP.

If the Proposer needs to submit changes or addenda, such shall be submitted in writing, signed by an authorized representative of the Proposer, cross-referenced clearly to the relevant proposal section, prior to the proposal opening, and should be submitted in a sealed envelope. Such shall meet all requirements for the proposal.

5.8 Withdrawal of Proposal

A Proposer may withdraw a proposal that has been submitted at any time up to the proposal closing date and time. To accomplish this, a written request signed by the authorized representative of the Proposer must be submitted to the Procurement Department.

5.9 Material in the RFP

Proposals shall be based only on the material contained in this RFP. The RFP includes official responses to questions, addenda, and other material, which may be provided by the Parish pursuant to the RFP.

5.10 Waiver of Administrative Informalities

The Parish reserves the right, at its sole discretion, to waive administrative informalities contained in any proposal.

5.11 Proposal Rejection

Issuance of this RFP in no way constitutes a commitment by the Parish to award a contract. The Parish reserves the right to accept or reject any or all proposals submitted or to cancel this RFP if it is in the best interest of the Parish to do so.

5.12 Ownership of Proposal

All materials (paper content only) submitted in response to this request become the property of the Parish. Selection or rejection of a response does not affect this right. All proposals submitted will be retained by the Parish and not returned to Proposers. Any copyrighted materials in the response are not transferred to the Parish.

5.13 Cost of Offer Preparation

The Parish is not liable for any costs incurred by prospective Proposers or Contractors prior to issuance of or entering into a Contract. Costs associated with developing the proposal, preparing for oral presentations, and any other expenses incurred by the Proposer in responding to the RFP are entirely the responsibility of the Proposer, and shall not be reimbursed in any manner by the Parish.

5.14 Non-negotiable Contract Terms

Non-negotiable contract terms include but are not limited to taxes, assignment of contract, audit of records, EEOC and ADA compliance, record retention, content of contract/order of precedence, contract changes, governing law, claims or controversies, and termination based on contingency of appropriation of funds.

5.15 Taxes

Any taxes, other than state and local sales and use taxes from which the Parish is exempt, shall be assumed to be included within the Proposer's cost.

5.16 Proposal Validity

All proposals shall be considered valid for acceptance until such time an award is made, unless the Proposer provides for a different time period within its proposal response. However, the Parish reserves the right to reject a proposal if the Proposer's acceptance period is unacceptable and the Proposer is unwilling to extend the validity of its proposal.

5.17 Prime Contractor Responsibilities

The selected Proposer shall be required to assume responsibility for all items and services offered in his proposal whether or not he produces or provides them. The Parish shall consider the selected Proposer to be the sole point of contact with regard to contractual matters, including payment of any and all charges resulting from the contract.

5.18 Use of Subcontractors

Each Contractor shall serve as the single prime contractor for all work performed pursuant to its contract. That prime contractor shall be responsible for all deliverables referenced in this RFP. This general requirement notwithstanding, Proposers may enter into subcontractor arrangements. Proposers may submit a proposal in response to this RFP, which identifies subcontract(s) with others, provided that the prime contractor acknowledges total responsibility for the entire contract. If it becomes necessary for the prime contractor to use subcontractors, the Parish urges the prime contractor to use Louisiana vendors, including small and emerging businesses, a small entrepreneurship or a veteran or service-connected disabled veteran-owned small entrepreneurship, if practical. In all events, any subcontractor used by the prime should be identified to the Parish.

Information required of the prime contractor under the terms of this RFP, is also required for each subcontractor and the subcontractors must agree to be bound by the terms of the contract. The prime contractor shall assume total responsibility for compliance.

5.19 Written or Oral Discussions/Presentations

Written or oral discussions may be conducted with Proposers who submit proposals determined to be reasonably susceptible of being selected for award; however, the Parish reserves the right to enter into an Agreement without further discussion of the proposal submitted based on the initial offers received. Any such written or oral discussion shall be initiated by the Parish.

Any commitments or representations made during these discussions, if conducted, may become formally recorded in the final contract.

Written or oral discussions/presentations for clarification may be conducted to enhance the Parish's understanding of any or all of the proposals submitted. Any such written or oral discussions/presentations shall be initiated by the Parish. Proposals may be accepted without such discussions.

5.20 Acceptance of Proposal Content

The mandatory RFP requirements shall become contractual obligations if a contract ensues. Failure of the successful Proposer to accept these obligations shall result in the rejection of the proposal.

5.21 Evaluation and Selection

All responses received as a result of this RFP are subject to evaluation by the Evaluation Committee for the purpose of selecting the Proposer with whom the Parish shall contract.

To evaluate all proposals, a committee whose members have expertise in various areas has been selected. This committee will determine which proposals are reasonably susceptible of being selected for award. If required, written or oral discussions may be conducted with any or all of the Proposers to make this determination. Any such written or oral discussions shall be initiated by the Evaluation Committee.

Results of the evaluations will be provided by the Evaluation Committee to the Procurement Director. Written recommendation for award shall be made for the Proposer whose proposal, conforming to the RFP, will be the most advantageous to the Parish, price and other factors considered.

The committee may reject any or all proposals if none is considered in the best interest of the Parish.

5.22 Contract Negotiations

If for any reason the Proposer whose proposal is most responsive to the Parish's needs, price and other evaluation factors set forth in the RFP considered, does not agree to a contract, that proposal shall be rejected and the Parish may negotiate with the next most responsive Proposer. Negotiation may include revision of non-mandatory terms, conditions, and requirements. The Procurement Department must approve the final contract form and issue a purchase order, if applicable, to complete the process.

5.23 Contract Award and Execution

The Parish reserves the right to enter into a contract without further discussion of the proposal submitted based on the initial offers received.

The RFP, including any addenda, and the proposal of the selected Contractor will become part of any contract initiated by the Parish.

Proposers are discouraged from submitting their own standard terms and conditions with their proposals. Proposers should address the specific language in the sample contract in Attachment "B" of this RFP and submit any exceptions or deviations the Proposer wishes to negotiate. The proposed terms will be negotiated before a final contract is entered. Mandatory terms and conditions are not negotiable.

If the contract negotiation period exceeds thirty (30) days or if the selected Proposer fails to sign the contract within **twenty (20) calendar** days of delivery of it, the Parish may elect to cancel the award and award the contract to the next-highest-ranked Proposer.

Award shall be made to the Proposer with the highest points, whose proposal, conforming to the RFP, will be the most advantageous to the Parish, price and other factors considered.

The Parish intends to award to a single Proposer.

5.24 Acknowledgment and Waiver of Protest Rights

Proposer shall execute an Acknowledgment and Waiver (the "Waiver") (Attachment "C") and shall produce same to the Parish along with its proposal. Such Waiver shall state that Proposer has read this RFP and the Waiver, and understands that the Parish's obligations under this RFP are not dictated by Louisiana Public Bid Law or the Louisiana Procurement Code. As such, Proposer understands that it is provided no opportunity for protest and waives all such rights.

5.25 Notice of Intent to Award

Upon review and approval of the evaluation committee's and agency's recommendation for award, the Procurement Department will issue a "Notice of Intent to Award" letter to the apparent successful Proposer. A contract shall be completed and signed by all parties concerned on or before the date indicated in the "Schedule of Events." If this date is not met, through no fault of

the Parish, the Parish may elect to cancel the "Notice of Intent to Award" letter and make the award to the next most advantageous Proposer.

The Procurement Department will also notify all unsuccessful Proposers as to the outcome of the evaluation process. The evaluation factors, points, evaluation committee member names, and the completed evaluation summary and recommendation report will be made available to all interested parties after the "Notice of Intent to Award" letter has been issued.

5.26 Insurance Requirements

The Contractor shall comply with all insurance requirements of the Parish as contained in Attachment "D". All policies of insurance shall meet the requirements of the Parish prior to the commencing of any work. The Parish has the right, but not the duty, to approve all insurance policies prior to the commencing of any work. Contractor shall furnish the Parish with certificates of insurance effecting coverage(s) required by the RFP (see Attachment "D"). The certificates for each insurance policy are to be signed by a person authorized by that insurer to bind coverage on its behalf. The certificates are to be received and approved by the Parish before work commences. The Parish reserves the right to require complete certified copies of all required policies, at any time.

5.27 Subcontractor Insurance

The Contractor shall include all subcontractors as insured's under its policies or shall insure that all subcontractors satisfy the same insurance requirements stated herein for the contractor.

5.28 Indemnification and Limitation of Liability

Neither party shall be liable for any delay or failure in performance beyond its control resulting from acts of God or force majeure. The parties shall use reasonable efforts to eliminate or minimize the effect of such events upon performance of their respective duties under the contract.

Contractor shall be fully liable for the actions of its agents, employees, partners or subcontractors and shall fully indemnify and hold harmless the Parish from suits, actions, damages and costs of every name and description relating to personal injury and damage to real or personal tangible property caused by Contractor, its agents, employees, partners or subcontractors in the performance of the contract, without limitation; provided, however, that the Contractor shall not indemnify for that portion of any claim, loss or damage arising hereunder due to the negligent act or failure to act of the Parish. In connection therewith, the Contractor shall execute the Hold Harmless Agreement furnished by the Parish (Attachment "E"). Work may not commence until such Hold Harmless Agreement is executed by the Contractor and received by the Parish.

Contractor will indemnify, defend and hold the Parish harmless, **without limitation**, from and against any and all damages, expenses (including reasonable attorneys' fees), claims judgments,

liabilities and costs which may be finally assessed against the Parish in any action for infringement of a United States Letter Patent with respect to the Products, Materials, or Services furnished, or of any copyright, trademark, trade secret or intellectual property right, provided that the Parish shall give the Contractor: (i) prompt written notice of any action, claim or threat of infringement suit, or other suit, (ii) the opportunity to take over, settle or defend such action, claim or suit at Contractor's sole expense, and (iii) assistance in the defense of any such action at the expense of Contractor. Where a dispute or claim arises relative to a real or anticipated infringement, the Parish may require Contractor, at its sole expense, to submit such information and documentation, including formal patent attorney opinions, as the Parish shall require.

The Contractor shall not be obligated to indemnify that portion of a claim or dispute based upon: (i) Parish's unauthorized modification or alteration of a Product, Material, or Service; (ii) Parish's use of the Product, Material, or Service in combination with other products, materials, or services not furnished by Contractor; (iii) Parish's use in other than the specified operating conditions and environment.

In addition to the foregoing, if the use of any item(s) or part(s) thereof shall be enjoined for any reason or if Contractor believes that it may be enjoined, Contractor shall have the right, at its own expense and sole discretion as the Parish's exclusive remedy to take action in the following order of precedence: (i) to procure for the Parish the right to continue using such item(s) or part(s) thereof, as applicable; (ii) to modify the component so that it becomes non-infringing equipment of at least equal quality and performance; or (iii) to replace said item(s) or part(s) thereof, as applicable, with non-infringing components of at least equal quality and performance, or (iv) if none of the foregoing is commercially reasonable, then provide monetary compensation to the Parish up to the dollar amount of the Contract.

The Parish may, in addition to other remedies available to them at law or equity and upon notice to the Contractor, retain such monies from amounts due Contractor, or may proceed against the performance and payment bond, if any, as may be necessary to satisfy any claim for damages, penalties, costs and the like asserted by or against them.

5.29 Fidelity Bond Requirements

Omitted as not applicable to this RFP

5.30 Payment

5.30.1 Payment for Services

The Contractor may invoice the Parish monthly at the billing address designated by the Parish. Progress payments will be made on the basis of hours of work completed during the course of

the engagement and out-of-pocket expenses incurred in accordance with the firm's dollar cost bid proposal. Interim billings shall cover a period of not less than a calendar month. Ten percent (10%) of the total all-inclusive maximum price will be withheld pending completion of the audit and delivery and acceptance by the Chief Financial Officer of all final reports.

Payments will be made by the Parish within approximately thirty (30) days after receipt of a properly executed invoice, and approval by the Parish. Invoices shall include the purchase order number. Invoices submitted without this information will not be approved for payment until the required information is provided/reflected on the invoice.

5.31 Termination

5.31.1 Termination of the Contract for Cause

The Parish may terminate the contract for cause based upon the failure of the Contractor to comply with the terms and/or conditions of the contract, or failure to fulfill its performance obligations pursuant to the contract, provided that the Parish shall give the Contractor written notice specifying the Contractor's failure. If within thirty (30) days after receipt of such notice, the Contractor shall not have corrected such failure or, in the case of failure which cannot be corrected in thirty (30) days, begun in good faith to correct such failure and thereafter proceeded diligently to complete such correction, then the Parish may, at its option, place the Contractor in default and the contract shall terminate on the date specified in such notice.

The Contractor may exercise any rights available to it under Louisiana law to terminate for cause upon the failure of the Parish to comply with the terms and conditions of the contract, provided that the Contractor shall give the Parish written notice specifying the Parish's failure and a reasonable opportunity for the Parish to cure the defect.

5.31.2 Termination of the Contract for Convenience

The Parish may terminate the contract at any time by giving thirty (30) days written notice to the Contractor of such termination or negotiating with the Contractor an effective date.

The Contractor shall be entitled to payment for deliverables in progress, to the extent work has been performed satisfactorily.

5.31.3 Termination for Non-Appropriation of Funds

The continuance of the contract is contingent upon the appropriation of funds to fulfill the requirements of the contract by the Parish Council. If the Parish Council fails to appropriate sufficient monies to provide for the continuation of the contract, or if such appropriation is reduced for any lawful purpose, and the effect of such reduction is to provide insufficient monies for the continuation of the contract, the contract shall terminate on the date of the beginning of the first fiscal year for which funds are not appropriated.

5.32 Assignment

The Contractor shall not assign any interest in the contract by assignment, transfer, or novation, without prior written consent of the Parish. This provision shall not be construed to prohibit the contractor from assigning his bank, trust company, or other financial institution any money due or to become due from approved contracts without such prior written consent. Notice of any such assignment or transfer shall be furnished promptly to the Parish.

5.33 No Guarantee of Quantities

The quantities referenced in the RFP are estimated to be the amount needed. In the event a greater or lesser quantity is needed, the right is reserved by the Parish to increase or decrease the amount, at the unit price stated in the proposal.

The Parish does not obligate itself to contract for or accept more than its actual requirements during the period of the contract, as determined by actual needs and availability of appropriated funds.

5.34 Audit of Records

The Parish Auditor, state auditors, federal auditors or others so designated by the Parish, shall have the option to audit all accounts directly pertaining to the resulting contract for a period of five (5) years after Project acceptance or as required by applicable State and Federal law. Records shall be made available during normal working hours for this purpose.

5.35 Civil Rights Compliance

The Contractor agrees to abide by the requirements of the following as applicable: Title VI and Title VII of the Civil Rights Act of 1964, as amended by the Equal Opportunity Act of 1972, Federal Executive Order 11246, the Federal Rehabilitation Act of 1973, as amended, the Vietnam Era Veteran's Readjustment Assistance Act of 1974, Title IX of the Education Amendments of 1972, the Age Act of 1975, and Contractor agrees to abide by the requirements of the Americans with Disabilities Act of 1990. Contractor agrees not to discriminate in its employment practices, and will render services under the contract and any contract without regard to race, color, religion, sex, national origin, veteran status, political affiliation, or disabilities. Any act of discrimination committed by Contractor, or failure to comply with these statutory obligations when applicable shall be grounds for termination of the contract.

5.36 Record Retention

The Contractor shall maintain all records in relation to the contract for a period of at least five (5) years after final payment.

5.37 Record Ownership

All records, reports, documents, or other material related to any contract resulting from this RFP and/or obtained or prepared by Contractor in connection with the performance of the services contracted for herein shall become the property of the Parish and shall, upon request, be returned by Contractor to the Parish, at Contractor's expense, at termination or expiration of the contract.

5.38 Content of Contract/ Order of Precedence

In the event of an inconsistency between the contract, the RFP and/or the Contractor's Proposal, the inconsistency shall be resolved by giving precedence first to the final contract, then to the RFP and subsequent addenda (if any) and finally, the Contractor's Proposal.

5.39 Contract Changes

No additional changes, enhancements, or modifications to any contract resulting from this RFP shall be made without the prior approval of the Parish.

Changes to the contract include any change in: compensation; beginning/ ending date of the contract; scope of work; and/or Contractor change through the Assignment of Contract process. Any such changes, once approved, will result in the issuance of an amendment to the contract.

5.40 Substitution of Personnel

The Parish intends to include in any contract resulting from this RFP the following condition:

Substitution of Personnel: If, during the term of the contract, the Contractor or subcontractor cannot provide the personnel as proposed and requests a substitution, that substitution shall meet or exceed the requirements stated herein. A detailed resume of qualifications and justification is to be submitted to the Parish for approval prior to any personnel substitution. It shall be acknowledged by the Contractor that every reasonable attempt shall be made to assign the personnel listed in the Contractor's proposal.

5.41 Governing Law

All activities associated with this RFP process shall be interpreted under Louisiana Law. All proposals and contracts submitted are subject to provisions of the laws of the State of Louisiana and specifications listed in this RFP. Jurisdiction and venue for any suit filed in connection with this RFP process and contract shall be exclusive to the 22nd Judicial District Court for the Parish of St. Tammany, State of Louisiana.

5.42 Anti-Kickback Clause

The Contractor hereby agrees to adhere to the mandate dictated by the Copeland "Anti-Kickback" Act which provides that each Contractor or subgrantee shall be prohibited from inducing, by any means, any person employed in the completion of work, to give up any part of the compensation to which he is otherwise entitled.

5.43 Clean Air Act

The Contractor hereby agrees to adhere to the provisions which require compliance with all applicable standards, orders or requirements issued under Section 306 of the Clean Air Act which prohibits the use under non-exempt Federal contracts, grants or loans of facilities included on the EPA list of Violating Facilities.

5.44 Energy Policy and Conservation Act

The Contractor hereby recognizes the mandatory standards and policies relating to energy efficiency which are contained in the State energy conservation plan issued in compliance with the Energy Policy and Conservation Act (P.L. 94-163).

5.45 Clean Water Act

The Contractor hereby agrees to adhere to the provisions which require compliance with all applicable standards, orders, or requirements issued under Section 508 of the Clean Water Act which prohibits the use under non-exempt Federal contracts, grants or loans of facilities included on the EPA List of Violating Facilities.

5.46 Anti-Lobbying and Debarment Act

The Contractor will be expected to comply with Federal statutes required in the Anti-Lobbying Act and the Debarment Act.

ATTACHMENT A - ADDITIONAL SCHEDULES

**ST. TAMMANY PARISH GOVERNMENT
LIST OF ELECTED OFFICIALS AND KEY PERSONNEL**

Council Members

Marty Dean	District 1	Chris Canulette	District 8
David R. Fitzgerald	District 2	Mike M. Smith	District 9
Matha J. Cazaubon	District 3	Maureen O'Brien	District 10
Michael Lorino, Jr.	District 4	Steve Stefancik	District 11
Rykert O. Toledano, Jr.	District 5	Jerry Binder	District 12
Cheryl. Tanner	District 6	Jake A. Airey	District 13
James J. Davis	District 7	Thomas J. Smith	District 14

Council Support Staff

Karlin Riles Council Administrator

Parish President

Michael B. Cooper

Officers

Gina Hayes Chief Administrative Officer
Leslie Long Chief Financial Officer

Directors

Robert Bremer	Acting Director of Animal Services
Ross Liner	Director of Development
Tim Brown	Director of Environmental Services
Bruce Crouch	Director of Facilities Management
Jeanne Betbeze	Director of Grants
Mary Burkell	Director of Health & Human Services
Clarence Powe	Director of Homeland Security and Emergency Operations
Adrienne Stroble	Director of Human Resources
Rick Loggins	Director of Information Technology
Kenneth Wortmann	Director of Permits and Inspections
Michael Vinsanau	Director of Public Information
Vacant	Director of Procurement
Jay Watson	Director of Public Works
Daniel Hill	Director of Engineering

ATTACHMENT A - ADDITIONAL SCHEDULES

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS - PRIMARY GOVERNMENT

For the Year Ended December 31, 2020

Fed Grantor	Pass Through Grantor	Identifying	Amounts
Fed Office	CFDA Title	Pass Through	Provided to
Program Title	CFDA #	Number	Expenditures
			Sub-recipients
U.S. DEPT. OF COMMERCE			
Passed through LA Department of Natural Resources -			
NOAA	Coastal Zone Management Administration Awards	11.419	
	Local Coastal Zone Program Implementation	LaGov 2000426718	\$ 30,913
U.S. DEPT. OF HOUSING AND URBAN DEVELOPMENT			
	Community Development Block Grants/Entitlement Grants	14.218	
	FY 2013 CDBG Entitlement Grant	B-13-UC-22-0002	-
	FY 2017 CDBG Entitlement Grant	B-17-UC-22-0002	-
	FY 2018 CDBG Entitlement Grant	B-18-UC-22-0002	-
	FY 2019 CDBG Entitlement Grant	B-19-UC-22-0002	83,832
	FY 2020 CDBG Entitlement Coronavirus Grant	B-20-UW-22-0002	81,684
	Total Community Development Block Grants/Entitlement Grants		165,516
	Continuum of Care Program	14.267	
	Transition to Housing	LA0326L6H061800	69,453
	Supportive Housing Program FY 2019/2020	LA0133L6H061811	42,977
	Supportive Housing Program FY 2020/2021	LA0133L6H061912	37,887
	Total Continuum of Care Program		150,317
CDBG - Disaster Recovery Grants - Pub. L. No. 113-2 Cluster			
	Hurricane Sandy Community Development Block Grant Disaster Recovery Grants (CDBG-DR)	14.269	
	St. Tammany Advanced Campus - Safe Room - Family Promise	B-13-US-22-0002	29,946
Passed through LA Division of Administration's Office of Community Development -			
	National Disaster Resilience Competition	14.272	
	LA SAFE - CDBG DR National Disaster Resilience Program	B-13-DS-22-0002	106,131
	Total CDBG - Disaster Recovery Grants - Pub. L. No. 113-2 Cluster		136,077
	Community Development Block Grants/State's Program and Non-Entitlement Grants in Hawaii	14.228	
	Road Home Auction Properties - Program Income	152P-00002	67,858
Passed through LA Department of Social Services -			
	Emergency Solutions Grants Program	14.231	
	Emergency Solutions Grants Program FY 2018-2020	ESG2018/2020	120,439
	Emergency Solutions Grants Program FY 2020-2021	ESG2019/2021	4,296
	Total Emergency Solutions Grants Program		124,735
U.S. DEPT. OF THE INTERIOR			
Direct Awards			
	Coastal Program	15.630	
	Cypress Bayou Tree Planting	F18AC00192	1,109
Passed through LA Department of Wildlife and Fisheries -			
	Sport Fish Restoration Program	15.605	
	Wallop-Breaux Sport Fish Restoration Act	16-01-00-86-6	5,437
U.S. DEPT. OF JUSTICE			
Direct Awards			
	COAP	Comprehensive Opioid Abuse Site-Based Program	16.838
		Opioid Site Based Intervention Program FY 17	2017-AR-BX-K011
			63,188
U.S. DEPT. OF TRANSPORTATION			
Direct Awards			
	FTA	Federal Transit Formula Grants	20.507
		2012 5307 Urban Transit Operations	FTA-LA-90-X406
		2013 5307 Urban Transit Operations	FTA-LA-90-X415
		2016 5307 Urban Transit Operations	FTA-LA-90-X445
		FTA COVID Funds	FTA-LA-2020-008-00
		Total Federal Transit Formula Grants	1,306,732
Passed through LA Department of Transportation and Development -			
	FTA	Formula Grants for Rural Areas	20.509
		Rural Transportation	RU-18-52-20
		Rural Transportation	RU-18-52-21
		Total Formula Grants for Rural Areas	321,263
U.S. DEPT. OF TRANSPORTATION			
Passed through LA Governor's Office of Homeland Security and Emergency Preparedness -			
	Coronavirus Relief Fund	21.019	
	Coronavirus Aid, Relief, and Economic Security Act	COVID-19-CRF-088561	28,105,267
			26,177,054

ATTACHMENT A - ADDITIONAL SCHEDULES

Fed Grantor Pass Through Grantor Fed Office	CFDA Title Program Title	Identifying Pass Through Number	Expenditures	Amounts Provided to Sub-recipients
NATIONAL ENDOWMENT FOR THE ARTS				
Passed through Arts Council of Greater Baton Rouge -	<i>Promotion of the Arts Partnership Agreements</i>	45.025		
	Poetry Out Loud		800	-
ENVIRONMENTAL PROTECTION AGENCY				
Direct Awards	<i>Gulf of Mexico Program</i>	66.475		
	Pollution Source Tracking for Bayou Liberty	MX-00D43316-0	6,302	-
	Pollution Source Tracking for Abita Watershed	MX-00D43316-0	51,997	-
	<i>Total Gulf of Mexico Program</i>		58,299	-
Passed through The Lake Pontchartrain Basin Restoration Program -	<i>Lake Pontchartrain Basin Restoration Program</i>	66.125		
	Pollution Source Tracking for Pontchitolawa Creek Watershed		21,687	-
	<i>Total Lake Pontchartrain Basin Restoration Program</i>		21,687	-
U.S. DEPT. OF HEALTH AND HUMAN SERVICES				
Passed through Louisiana Department of Health & Hospitals -	<i>Public Health Emergency Preparedness Aligned Cooperative Agreements</i>	93.074		
	FY 2020 SNS/CRI Program		22,982	-
Passed through Louisiana Association of Community Action Partnerships -	<i>Low-Income Home Energy Assistance Program</i>	93.568		
	LIHEAP FY 2019	DHHS 2019	30,078	-
	LIHEAP FY 2020	DHHS 2020	1,245,374	-
	<i>Total Low-Income Home Energy Assistance Program</i>		1,275,452	-
Passed through Louisiana Department of Labor -	<i>Community Services Block Grant</i>	93.569		
	CSBG FY19	2000370860	325,424	-
	CSBG FY20	2000460909	182,130	8,110
	<i>Total Community Services Block Grant</i>		507,554	8,110
U.S. DEPT. OF HOMELAND SECURITY				
Passed through LA Governor's Office of Homeland Security and Emergency Preparedness -	<i>Flood Mitigation Assistance</i>	97.029		
	FMA-PJ-06-LA-2013-002	EMT-2014-FM-E003	564,226	-
	FMA-PJ-06-LA-2013-011	EMT-2014-FM-E003	331,670	-
	FMA-PJ-06-LA-2014-014	EMT-2014-FM-E004	279,381	-
	FMA-PJ-06-LA-2015-014	EMT-2015-FM-E003	356,113	-
	FMA-PJ-06-LA-2016-018	EMT-2016-FM-E005	391,677	-
	<i>Total Flood Mitigation Assistance</i>		1,923,067	-
Disaster Grants - Public Assistance (Presidentially Declared Disasters)		97.036		
	March 2016 Flood	DR-4263	39,751	-
	Lakefront Pump Station	PW 872	95,393	-
	<i>Total Disaster Grants - Public Assistance (Presidentially Declared Disasters)</i>		135,144	-
Hazard Mitigation Grant		97.039		
	1786-103-0006	Project #172	137,320	-
	HMGP 4080-103-0002	Project #28	110,467	-
	1603-103-0054 Little Bayou Castine Drainage	Project #332	11,057	-
	1791-103-0005	Project #0007	170,601	-
	Fritchie Marsh Hydrologic Restoration - W14	Project #0016	202,093	-
	<i>Total Hazard Mitigation Grant</i>		631,538	-
Homeland Security Grant Program		97.067		
	FY 2017 State Homeland Security Grant	EMW-2017-SS-00058-S01	17,802	-
	FY 2018 State Homeland Security Grant	EMW-2018-SS-00016-S01	16,457	-
	<i>Total Homeland Security Grant Program</i>		34,259	-
			\$ 3 5,459,904	\$ 26,350,680

Attachment A

Proposal Pricing Sheet

RFP # 21-14-3

Auditing Services

Contractor agrees to abide by all St. Tammany Parish Government (Parish) General Conditions (furnished upon request), and acknowledges receipt of and has reviewed a copy of the Parish's Insurance Requirements (as attached) and agrees to maintain such insurance coverage(s) throughout the duration of the project, as well as for any subsequent warranty periods. If awarded the project, Contractor agrees that no work is to commence under any circumstance until the Contractor is provided a notice to proceed by the Parish.

Contractor must acknowledge all addenda. Enter the number the Parish has assigned to each of the addenda that the Contractor is acknowledging. The Contractor acknowledges receipt of the following:

ADDENDA: _____

Contractor: _____

Address Line 1: _____

Address Line 2: _____

City: _____ State: _____ Zip: _____

Phone: _____ Email: _____

Total quote amount (Dollars): \$ _____

Total quote amount (Written): _____

Contractor Signature: _____ Date: _____

Contractor Printed Name: _____

ATTACHMENT "B"

CONTRACT FOR PROFESSIONAL SERVICES

Contract No.: «txtContractNum»

Be it known, that on this _____ day of _____, 202_, the Parish of St. Tammany, through the Office of the Parish President (hereinafter sometimes referred to as the "Parish") and «txtREQCompanyName», an entity qualified to do and doing business in this State and Parish (hereinafter referred to as "Provider") do hereby enter into this Contract for Professional Services under the following terms and conditions.

1. SCOPE OF SERVICES

Provider hereby agrees to furnish the following services:
«txtScopeSummary»

2. DOCUMENTS

- A. The Provider shall furnish sufficient sets of plans, specifications and Contract documents.
- B. All data collected by the Provider and all documents, notes, drawings, tracings, and files shall remain the property of the Parish except as otherwise provided herein. The Provider shall furnish to the Parish copies of any project documents requested by the Parish.
- C. The Parish shall furnish without charge all standard plans and specifications and any other information which the Parish now has in its files which may be of use to the Provider. Provider has the duty to and must confirm and verify all information contained therein.
- D. The Provider shall use the most current version of the standard forms of documents adopted and specified by the Parish in the performance of the Contract, all as of the date of the signing of this Contract. Notwithstanding anything to the contrary in any other provision of this Contract, none of the Contract documents provided by the Parish are or will become the property of the Provider but shall remain the property of the Parish to the extent the Parish has a property interest therein.
- E. Notwithstanding any Section hereinafter, there will be retention of all related records as follows:

ATTACHMENT "B"

- 1) All records, reports, documents and other material delivered or transmitted to Provider by Parish shall remain the property of Parish, and shall be returned by Provider to Parish, at Provider's expense, at termination or expiration of this Contract. All records, reports, documents, exhibits or other material related to this Contract and/or obtained or prepared by Provider in connection with the performance of the services contracted for herein shall become the property of Parish, and shall, upon request, be returned by Provider to Parish, at Provider's expense, at termination or expiration of this Contract.
 - 2) The Parish and Provider acknowledge and agree that the Parish has the right to review all records, reports, worksheets or any other material of either party related to this Contract. Provider further agrees that Provider will furnish to the Parish, upon request, copies of any and all records, reports, worksheets, bills, statements or any other material of Provider or Parish related to this Contract.
 - 3) Provider shall maintain all books, documents, papers, accounting records and other evidence pertaining to costs incurred and shall make such materials available at its offices at any reasonable time for inspection and copying by the Parish.
 - 4) Provider shall retain all of its records and supporting documentation applicable to this Contract with the Parish for a period of three (3) years after termination of the Contract, except as follows:
 - a. Records that are subject to audit findings shall be retained for three (3) years after such findings have been resolved.
 - b. All such records and supporting documentation shall be made readily available, upon request, for inspection, copying or audit by representatives of the Parish. In the event the Provider goes out of existence, it shall turn over to the Parish all of its records relating to this Contract to be retained by the Parish for the required period of time.
- F.** In the event there is re-use of any documents created by Provider, Provider invokes the protections afforded it as per La. Revised Statute R.S. 38:2317.
- G.** All of Provider's pre-existing or proprietary computer programs, software, information, standard details or material developed by Provider outside of this agreement shall remain the exclusive property of the Provider.

ATTACHMENT "B"

3. PAYMENT OF ALL FEES AND ALL EXPENSES

This Section shall apply to all payments that may be due Provider by Parish. The Payment Schedule is set forth in Section I, above.

A. IF ON AN HOURLY BASIS:

- 1) Provider agrees to submit, at the end of each calendar month, a written and detailed itemization of all work performed listing time by date the work performed by hours with specific reference to the nature of the work performed (e.g., drafting of plans, review of files, etc.). All invoices submitted covering services rendered on an hourly basis shall include time sheets showing actual hours worked by each individual delineated incrementally to the tenth of the hour, their name, classifications, and a detailed description of the work performed.
- 2) Unless otherwise authorized in writing, Provider will not be paid for research, or for photocopies at more than \$0.15 (fifteen cents) per copy for copies less than 11" x 17" and copies larger than 11" x 17" shall be charged on a reasonable basis.
- 3) There shall be no fees charged by nor paid to Provider for consultation with the Parish, secretarial time, attendance at public meetings, and/or travel time for consultation with the Parish, unless specifically provided for in the Scope of Work to be performed, without the express written pre-approval of the Parish.
- 4) Invoices for services shall be submitted by Provider to the Director of the Department within the Parish issuing the work for review and approval.
 - (a) All invoices must indicate the Parish Purchase Order Number and Work Order Number.
 - (b) All billings by Provider for services rendered shall be submitted in writing.
 - (c) Provider shall be reimbursed for reasonable out-of-pocket expenses. Any out-of-pocket expense in excess of \$250.00 shall be pre-approved by the Parish. Failure by Provider to obtain pre-approval from the Parish of expenditures in excess of \$250.00 shall constitute grounds for denial of payment for that expense.

ATTACHMENT “B”

- (d) Out of state or parish travel time is billable as services only and specifically at the direction and convenience of the Parish, if it is performed during normal working hours, and if it does not cause service charges for the day to exceed eight hours. Such travel time must be pre-approved, in writing, by the Parish.
 - (e) Provider hereby agrees that it shall be solely responsible for the payment of all applicable local, state and, federal taxes on the funds it receives under this Contract.
- 5) The Parish agrees to make payment to Provider for services upon receipt and approval of each invoice. The Parish will pay Provider the amount due and payable within thirty (30) days of receipt, unless a conflict results in a delay of payment. Upon receipt of each invoice, the Parish shall have the right and opportunity to review, confirm or otherwise determine the accuracy of each invoice and performance of service. In the event that the Parish disputes or otherwise may question the accuracy of each invoice or quality of all work performed in connection with an invoice, the Parish may withhold full or partial payment of any invoice until a successful and satisfactory resolution can be reached between the Parties. Parish agrees to not unreasonably withhold payments of any invoice.
- 6) Other than the fee schedule herein, there will be absolutely no additional fees due Provider to cover its overhead costs, general expenses, capital expenses, expenses for principal/branch/ field offices, employees salaries, direct and indirect costs, additional costs or profit of any nature whatsoever in excess of the previously agreed hourly rate.

B. IF ON A LUMP SUM BASIS:

Where there is payment based upon a lump sum fee for all required for this project, the Parish shall pay the Provider a lump sum fee at the conclusion of the project and acceptance by the Parish, unless other terms are negotiated and agreed upon by both Parties in the Scope.

C. IF ON OTHER BASIS

If there is any other payment method, other than hourly or lump sum, the terms shall be specified in Section 1 (Scope of Services) above.

ATTACHMENT “B”

4. NON-ASSIGNABILITY

Provider shall not assign nor transfer any interest in this Contract (whether by assignment or novation) without prior written consent of the Parish. Failure to obtain the prior written consent of the Parish may be grounds for termination of this Contract. Claims for money due or to become due to the Provider from the Parish under this Contract may be assigned to a bank, trust company, or other financial institution without such prior written consent. Notice of any such assignment or transfer shall be furnished promptly to the Parish.

5. BUDGET LIMITATION

- A. The Parish shall determine the budget for this project, and the Parish shall advise the Provider of the budget limitation in writing. The Provider shall use its best judgment and expertise to design this project within the proposed budget. Any subsequent budget revisions shall be confirmed in writing.
- B. It is the responsibility of the Provider to advise the Parish in advance if Contract funds or Contract terms may be insufficient to complete Contract objectives. Provider understands and specifically warrants that it assumes the sole responsibility to advise the Parish in advance if Contract funds or Contract terms may be insufficient to complete Contract objectives. In providing opinions of probable construction cost, the Parish understands that the Provider has no control over costs and price of labor, equipment or materials or over the general Provider’s method of pricing, and that the opinion of probable costs provided herein are made on the basis of the Provider’s qualifications and experience.
- C. The continuation of this Contract is contingent upon the appropriation of funds by the Parish to fulfill the requirements of the Contract. If the Parish fails to appropriate sufficient monies to provide for the continuation of this or any other related Contract, or if such appropriation is reduced by the veto of Parish President by any means provided in the appropriations Ordinance to prevent the total appropriation for the year from exceeding revenues for that year, or for any other lawful purpose, and the effect of such reduction is to provide insufficient monies for the continuation of the Contract, the Contract shall terminate on the date of the beginning of the first fiscal year for which funds are not appropriated.

6. NOTICE TO PROCEED

ATTACHMENT "B"

The Parish, or a Department Director, shall issue the Provider a Notice to Proceed in writing. This notice shall include the Work Order Number, Purchase Order Number, and instructions to undertake the services stated herein. The Provider shall commence the services within ten (10) days after receipt of such notification, unless a shorter time period has been provided in the scope of services, or in emergency situations. The work necessary for the completion of each task shall be completed promptly following the Provider's receipt of the Notice to Proceed. If the Parish desires to divide the Project into various parts, a Notice to Proceed shall be issued for each part, and the Parish and the Provider shall mutually agree upon the period of time within which services for each part of the Project shall be performed. The Provider will be given time extensions for delays beyond its control, or for those delays caused by tardy approvals of work in progress by various official agencies, but no additional compensation shall be allowed or due Provider for such delays caused by the Provider.

7. INSURANCE

The Provider shall secure and maintain at its expense such insurance as may be required by the attached "Insurance Requirements". It is specifically understood that this agreement shall not be effective until such time as all insurance requirements are met by the Provider and approved by the Parish.

8. OTHER TERMS AND CONDITIONS

- A. The Provider shall, at all times during the term of this Contract, maintain valid Louisiana licenses and commissions as are customarily required of such a Provider, including but not limited to those that may be required by this State and/or Parish. The Provider agrees to renew and or keep current all licenses and commissions herein. The Provider agrees to maintain a copy of all such licenses or commissions on file at all time and make same available for review as may be reasonably requested by the Parish of St. Tammany.
- B. The professional and technical adequacy and accuracy of designs, drawings, specifications, documents, and other work products furnished under this Contract will be conducted in a manner consistent with that level of care and skill ordinarily exercised by members of the profession in St. Tammany Parish. In the event the Parish must have work performed on a construction Contract via a Change Order resulting from an error or omission by the Provider, the Provider shall provide, at no cost to the Parish, all professional services attributable to that Change Order. This is in addition to Parish's right to recover from Provider any damages for its errors and omissions.
- C. To the fullest extent permitted by law, Provider shall indemnify and hold harmless the St. Tammany Parish Government, its elected and appointed officials, departments,

ATTACHMENT "B"

agencies, boards and commissions, their officers, agents, servants, employees including volunteers, from and against any and all claims, demands, suits, costs, liabilities or judgments for sums of money, and fines or penalties asserted by any party, firm or organization for loss of life, injury or damages to persons or property, growing out of, resulting from, or by reason of any acts, errors, and/or omissions, by Provider, its agents, servants or employees, and subcontractors, as well as any and all costs, expenses and/or attorney fees incurred as a result of any claims, demands, and/or causes of actions that arise while engaged in connection with the services required to be performed by the Provider under this Contract. Provider further agrees to pay all reasonable expenses and attorneys' fees incurred by the Parish in establishing the right to indemnity pursuant to the provisions in this Contract.

- D.** This Contract shall be binding upon the successors and assigns for the Parties hereto.
- E.** This Contract represents the entire Contract between Parish and Provider.
- F.** This Contract is made under the Laws of the State of Louisiana, and for all purposes shall be interpreted in its entirety in accordance with the laws of said State. The Provider hereby agrees and consents to the jurisdiction of the courts of the State of Louisiana over its person. The Parties hereto agree that the sole and exclusive venue for all lawsuits, claims, disputes, and other matters in question between the Parties to this Contract or any breach thereof shall be in the 22nd Judicial District Court for the Parish of St. Tammany, State of Louisiana. It is also understood and agreed that the laws and ordinances of St. Tammany shall apply.
- G.** In the event that the Provider modifies the Parish's Contract documents without the expressed prior written consent of the Parish, the Provider shall indemnify and hold harmless the Parish from any claims, lawsuits, or damages that arise out of or are attributable to the modification. This indemnification and hold harmless obligation shall include not only the damages suffered by the Parish but also all reasonable expenses including, but not limited to, any and all litigation or other dispute resolution costs and any and all professional fees incurred by the Parish as a result of the Provider's deviation from the Parish's Contract documents, or fees incurred by the Parish in establishing the right to indemnity pursuant to the provisions in this Contract.
- H.** Provider agrees to a covenant against contingent fees. Provider warrants that it has not employed or retained any company or person, other than a bona fide employee working solely for the Provider, to solicit or secure this Contract, and that it has not paid or agreed to pay any company or person, other than a bona fide employee working solely for the Provider, any fee, commission, percentage, brokerage fee, gifts, or any other

ATTACHMENT "B"

consideration, contingent upon or resulting from the award or making of this Contract. For breach or violation of this warranty, the Parish shall have the right to annul this Contract without liability.

- I.** This Contract may be amended only by mutual written consent of the respective Parties.
- J.** Third Party Beneficiary: it is specifically agreed by and between the Parties to this Contract that no person or party is intended, deemed, considered, or construed to be a third party beneficiary of this Contract.
- K.** Neither party will be liable for failure to fulfill its obligations when due to causes beyond its reasonable control.
- L.** Any failure or delay by either party in exercising any right or remedy will not constitute a waiver of such right or remedy.
- M.** Severability: if any provision or item in this Contract is held invalid or unenforceable for any reason, then such invalidity or unenforceability shall not affect other provisions or items of this Contract. In such event, the remaining portions shall be given full force and effect without the invalid provision or item, and to this end the provisions or items of this Contract are hereby declared severable.
- N.** It is specifically understood that the terms "agreement" and "Contract" may be used interchangeably. It is specifically understood that the terms "Owner", "Director" and "Parish" and "the Parish of St. Tammany" may be used interchangeably.
- O.** Conflict of Interest: it is understood and agreed between the Parties hereto that Provider is not retained exclusively by the Parish but that the Parish may be retain other Providers during the term of this Contract. In the event of reasonably known conflicts of interest or potential conflicts of interest between the Parish and other Parties who have engaged Provider, the Provider agrees to make full disclosure of the same, and that they will take no action on behalf of any other client directly adverse to the Parish, nor will Provider take any action on behalf of the Parish directly adverse to any other client.
- P.** Provider warrants that Provider is qualified to perform the intended purposes of this agreement. In the event that Provider becomes not fit nor qualified for any reason whatsoever, then Provider agrees to withdraw from work herein at no cost to the Parish. In the event that the Parish determines that Provider is not suited for Parish purposes or otherwise fails to represent Parish policies to the satisfaction of the Parish, then Provider

ATTACHMENT "B"

agrees to withdraw from this agreement.

- Q.** Provider specifically agrees and understands that Provider shall not maintain or otherwise claim that it possesses any security interest in any aspect of the work that forms the basis of this agreement.
- R.** Provider agrees to ensure that its personnel are, at all times, educated and trained, and further, that Provider and its personnel will perform all work and services as would a reasonably-related Provider in St. Tammany Parish.
- S.** Provider agrees to perform all services in a workmanlike and professional manner. Provider recognizes and understands that time is of the essence. Provider agrees to perform and provide services in accordance with this agreement and all incorporated attachments.

9. TERMINATION, CANCELLATION, AND SUSPENSION

A. Termination

The term of this Contract shall be binding upon the Parties hereto until the work has been completed by the Provider and accepted by the Parish, and all payments required to be made to the Provider have been made. But, this Contract may be terminated upon thirty (30) days written notice under any or all of the following conditions:

- 1) By mutual agreement and consent of the Parties hereto;
- 2) By the Parish as a consequence of the failure of the Provider to comply with the terms, progress, or quality of the work in a satisfactory manner, proper allowances being made for circumstances beyond the control of the Provider;
- 3) By either party upon failure of the other party to fulfill its obligations as set forth in this Contract;
- 4) By the Parish with less than thirty (30) days notice due to budgetary reductions and changes in funding priorities by the Parish;
- 5) In the event of the abandonment of the project by the Parish.

Upon termination, the Provider shall be paid for actual work performed prior to the Notice of Termination, either based upon the established hourly rate for services actually

ATTACHMENT "B"

performed, or on a pro-rata share of the basic fee based upon the phase or percentage of work actually completed, depending on the type of compensation previously established under this Contract.

Upon Termination, the Provider shall deliver to the Parish all original documents, notes, drawings, tracings, computer files, and other files pertaining to this Contract or the Work performed, except for the Provider's personal and administrative files.

B. Cancellation

The continuation of this Contract is contingent upon the appropriation of funds to fulfill the requirements of the Contract by the Parish. If the Parish fails to appropriate sufficient monies to provide for the continuation of this or any other Contract, or if such appropriation is reduced by the veto of Parish President by any means provided in the appropriations Ordinance to prevent the total appropriation for the year from exceeding revenues for that year, or for any other lawful purpose, and the effect of such reduction is to provide insufficient monies for the continuation of the Contract, the Contract shall terminate on the date of the beginning of the first fiscal year for which funds are not appropriated. It is understood and agreed that paragraph (9)(C) below may preempt this paragraph, all at the exclusive and unilateral option of the Parish.

C. Suspension

Should the Parish desire to suspend the work, but not definitely terminate the Contract, the Parish shall supply the Provider with thirty (30) days notice. The Parish will also supply Provider thirty (30) days notice that the work is to be reinstated and resumed in full force. Provider shall receive no additional compensation during the suspension period. The Parties may revisit the terms of this Contract during the suspension period. The suspension shall not exceed six (6) months, unless mutually agreed upon between the Parties.

D. In the event of a default and/or breach of this agreement and this matter is forwarded to legal counsel, then the prevailing party may be entitled to collect a reasonable attorney fees and all costs associated therewith whether or not litigation is initiated. Attorney fees shall be based upon the current, reasonable prevailing rate for counsel in the private sector. The Parties agree to be responsible for such attorney fees, together for all with legal interest from date of agreement breach, plus all costs of collection.

E. Termination or cancellation of this agreement will not affect any rights or duties arising under any term or condition herein.

F. As to the filing of voluntary or involuntary bankruptcy by Provider, Provider agrees that if any execution or legal process is levied upon its interest in this Contract, or if any liens

ATTACHMENT "B"

or privileges are filed against its interest, or if a petition in bankruptcy is filed against it, or if it is adjudicated bankrupt in involuntary proceedings, or if it should breach this Contract in any material respect, the Parish shall have the right, at its unilateral option, to immediately cancel and terminate this Contract. In the event that Provider is placed in any chapter of bankruptcy, voluntarily or involuntarily, or otherwise triggers any provision of the preceding sentence herein, it is understood and agreed that all materials, goods and/or services provided shall be and remain the property of the Parish. All rights of Provider as to goods, wares, products, services, materials and the like supplied to Parish shall be deemed forfeited.

10. AUDITORS

Notwithstanding other Sections herein, Provider shall maintain all records for a period of three years after the date of final payment under this Contract. It is hereby agreed that the Parish Department of Finance or its designated auditor shall have the sole, unilateral and exclusive option of auditing all accounts of Provider which relate to this Contract. Such audit may be commenced at any reasonable time. Provider agrees not to delay, retard, interrupt or unduly interfere with commencement and completion of such an audit. If in the exclusive and unilateral opinion of the Parish that Provider delays, retards, interferes with or otherwise interrupts such an audit, the Parish may seek such relief as per law. In such an event, Provider agrees to be liable for all reasonable attorney fees, costs of auditors, court costs, and any other reasonably related expenses with such litigation.

11. TERM OF CONTRACT

- A. The effective date of this agreement shall begin on the date of the Parish President's signature or acceptance of the Provider's insurance carrier, whichever occurs last. Notwithstanding the foregoing, in no event, shall this Contract be valid until it has been approved in writing by the Parish President or his designee.
- B. This Professional Services Contract shall terminate as follows:
 - 1) As per the terms and conditions of Paragraph 9 hereinabove, or;
 - 2) As per operation of law, or;
 - 3) As agreement between the Parties, or;
 - 4) Upon the satisfactory completion of all services and obligations described herein, or;
 - 5) As per the Parish Charter, under Section 5-06(B).

12. DISCRIMINATION CLAUSE

ATTACHMENT "B"

Provider agrees to comply with the Americans with Disabilities Act of 1990 and any current amendments thereto. All individuals shall have equal access to employment opportunities available to a similarly suited individual. Provider agrees not to discriminate in its employment practices, and will render services under this Contract without regard to race, color, religion, sex, national origin, veteran status, political affiliation, or disabilities. Any act of discrimination committed by Provider, or failure to comply with these statutory obligations when applicable shall be grounds for termination of this Contract. Provider agrees to abide by the requirements of all local, state, and/or federal law, including but not limited to the following: Title VI and VII of the Civil Rights Act of 1964, as amended by the Equal Opportunity Act of 1972, Federal Executive Order 11246, the Federal Rehabilitation Act of 1973, as amended, the Vietnam Era Veteran's Readjustment Assistance Act of 1974, Title IX of the Education Amendments of 1972, the Age Act of 1975, and the requirements of the Americans with Disabilities Act of 1990. Provider warrants and guarantees that it is an Equal Employment Opportunity employer. In all hiring or employment made possible by or resulting from this Contract, there shall not be any discrimination against any person because of race, color, religion, sex, national origin, disability, age or veterans status; and where applicable, affirmative action will be taken to ensure that Provider's employees are treated equally during employment without regard to their race, color, religion, sex, national origin, disability, age, political affiliation, disabilities or veteran status. This requirement shall apply to but not be limited to the following: employment upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. All solicitations or advertisements for employees shall state that all applicants will receive consideration for employment without regard to race, color, religion, sex, national origin, disability, age or veteran status.

13. INDEPENDENT CONTRACTOR

- A. While in the performance of services or carrying out obligations herein, the Provider shall be acting in the capacity of an independent contractor (as defined in LA R.S. 23:1021(7)), and not as an employee of the Parish. Nothing herein shall create a partnership between the Provider and the Parish. The Parish shall not be obliged to any person, firm or corporation for any obligations of the Provider arising from the performance of its services under this agreement. The Provider shall not be authorized to represent the Parish with respect to services being performed, dealings with other agencies, and administration of specifically related contracts, unless done so in writing by the Parish.
- B. Provider hereby agrees to be responsible for payment of taxes from the funds thus received under this Contract. Provider agrees to be responsible for and to pay all applicable federal income taxes, federal social security tax (or self-employment tax in lieu thereof) and any other applicable federal or state unemployment taxes. Provider

ATTACHMENT "B"

agrees to indemnify and hold the Parish harmless for any and all federal and/or state income tax liability, including taxes, interest and penalties, resulting from the Parish's treatment of Provider as independent contractor. Provider further agrees to reimburse Parish for any and all costs it incurs, including, but not limited to, accounting fees and legal fees, in defending itself against any such liability.

C. Provider acknowledges Exclusions of Workmen's Compensation and/or Unemployment Coverage.

14. NOTICES

All notices shall be by certified mail, return receipt requested, and sent to the following individuals at the following addresses. Changes of person and addresses are to be exchanged in a like manner:

Parish of St. Tammany: Office of the Parish President
P.O. Box 628
Covington, La. 70434
(985) 898-2700

Provider: «txtREQCompanyName»
«txtREQAddress»
«txtREQCity», «txtREQState» «txtREQZip»

15. RECORDATION OF CONTRACT

Provider authorizes Parish to deduct from any payment due herein costs for recordation of this Contract in full or an excerpt hereof, or any revisions or modifications thereof as required by law. Provider agrees to execute an excerpt or extract of this agreement for recordation purposes. If Provider fails to execute such an excerpt, then the Parish shall file and record the entire Contract and all attachments at the expense of Provider and Parish is hereby authorized to deduct all related costs from any proceeds due to the Provider.

16. AUTHORITY TO ENTER CONTRACT

ATTACHMENT "B"

The undersigned representative of Provider warrants and personally guarantees that he/she has the requisite and necessary authority to enter and sign this Contract on behalf of the corporate entity, partnership, etc. The undersigned Parties warrant and represent that they each have the respective authority and permission to enter this Contract. In the event that Provider is a member of a corporation, partnership, LLC, LLP, or any other juridical entity, the Parish requires, as an additional provision, that Provider supplies a certified copy of a corporate resolution authorizing the undersigned to enter and sign this Contract.

This Contract is executed in **Three (3)** originals. IN TESTIMONY WHEREOF, they have executed this agreement, the date(s) written below.

WITNESSES:

PROVIDER:

Signature

Signature

Print Name

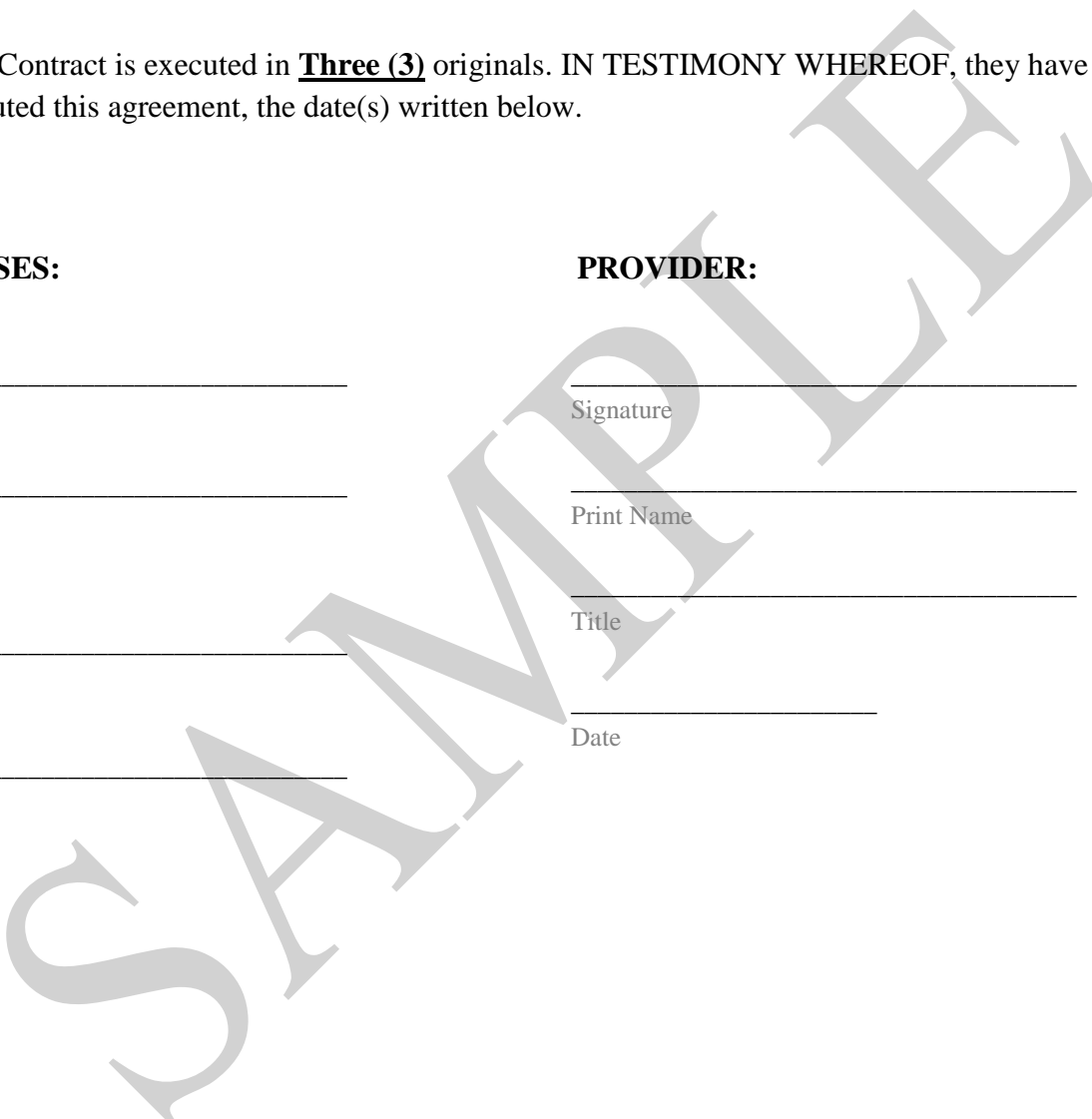
Print Name

Signature

Title

Print Name

Date



ATTACHMENT "B"

WITNESSES:

Signature

Print Name

Signature

Print Name

ST. TAMMANY PARISH GOVERNMENT:

Michael B. Cooper
Parish President

Date

APPROVED BY:

Assistant District Attorney – Civil Division

Date

SAMPLE

ATTACHMENT "C"

ACKNOWLEDGMENT AND WAIVER

_____ ("Proposer") hereby acknowledges that it has received Request for Proposal No. _____ ("RFP"), issued by the St. Tammany Parish Government, and has been advised that same is not subject to the Louisiana Public Bid Law or the Louisiana Procurement Code. As such, Proposer understands and acknowledges that it has not been granted and otherwise possesses no right to protest, contest, debate or otherwise call in question the processes, procedures, methodology or results of the RFP or the selection of a Contractor in connection therewith.

To the extent that the Proposer may otherwise have any such rights, Proposer herein waives all such rights to protest, contest, debate or otherwise call in question the processes, procedures, methodology or results of the RFP or the selection of a Contractor in connection therewith and agrees it will not file claims of any type or manner, in a court of law or otherwise, in any way related to same.

SIGNED, this _____ day of _____, 20__.

WITNESSES:

Proposer

Printed Name: _____

By: _____
(Signature of Authorized Representative)

Printed Name: _____

Printed Name: _____

Title: _____

STATE OF _____

PARISH/COUNTY OF _____

SWORN TO and subscribed before me, Notary, on this _____ day of _____, 20__.

NOTARY PUBLIC
My Commission Expires: _____

ATTACHMENT "D"



INSURANCE REQUIREMENTS*

Professional Services Project: AUDITING SERVICES

RFP#: 21-14-3

*****IMPORTANT – PLEASE READ*****

Prior to submitting your quote or bid, it is recommended that you review these insurance requirements with your insurance broker/agent.

These requirements modify portions of the insurance language found in the General Conditions and/or Supplementary General Conditions; however, there is no intention to remove all sections pertaining to insurance requirements and limits set forth in the General Conditions and/or Supplementary General Conditions, only to amend and specify those items particular for this Project.

- A. The Provider shall secure and maintain at its expense such insurance that will protect it and St. Tammany Parish Government (the "Parish") from claims for bodily injury, death or property damage as well as from claims under the Workers' Compensation Acts that may arise from the performance of services under this agreement. All certificates of insurance shall be furnished to the Parish and provide thirty (30) days prior notice of cancellation to the Parish, in writing, on all of the required coverage.
- B. All policies shall provide for and certificates of insurance shall indicate the following:
1. Waiver of Subrogation: The Provider's insurers will have no right of recovery or subrogation against the Parish of St. Tammany, it being the intention of the parties that all insurance policy(ies) so affected shall protect both parties and be the primary coverage for any and all losses covered by the below described insurance.
 2. Additional Insured: St. Tammany Parish Government shall be named as Additional Insured with respect to general liability, automobile liability and excess liability coverages, as well as marine liability and pollution/environmental liability, when those coverages are required or necessary.
 3. Payment of Premiums: The insurance companies issuing the policy or policies will have no recourse against St. Tammany Parish Government for payment of any premiums or for assessments under any form of policy.
 4. Deductibles/Self-Insured Retentions: Any deductibles and/or self-insured retentions in the described insurance policies **must be declared on the Certificate of Insurance**, and are both assumed by and the sole risk of the Provider. The Parish will have the sole discretion to accept or reject deductibles and/or self-insured retentions exceeding \$100,000 as it deems appropriate. The Parish may require Provider to produce evidence of verifiable financial ability to satisfy its deductibles and/or self-insured retentions; however, the Parish assumes no liability or obligation resulting from its examination, acceptance, or rejection of information presented.
 5. Project Reference: The project(s) and location(s) shall be referenced in the Comment or Description of Operations section of the Certificate of Insurance (Project #-###, or Bid # if applicable, Type of Work, Location).
- C. Coverage must be issued by insurance companies authorized to do business in the State of Louisiana. Companies must have an A.M. Best rating of no less than A-, Category VII. St. Tammany Parish Risk Management Department may waive this requirement only for Workers Compensation coverage at their discretion.

Provider shall secure and present proof of insurance on forms acceptable to St. Tammany Parish Government, Office of Risk Management no later than the time of submission of the Contract to the Parish. However, should any work performed under this Contract by or on behalf of Provider include exposures that are not covered by those insurance coverages, Provider is not relieved of its obligation to maintain appropriate levels and types of insurance necessary to protect itself, its agents and employees, its subcontractors, St. Tammany Parish Government (Owner), and all other interested third parties, from any and all claims for damage or injury in connection with the services performed or provided throughout the duration of this Project, as well as for any subsequent periods required under this Contract.

The insurance coverages checked (✓) below are those required for this Contract.

1. **Commercial General Liability*** insurance – **Occurrence Form** - with a Combined Single Limit for bodily injury and property damage of at least \$1,000,000 per Occurrence / \$2,000,000 General Aggregate and \$2,000,000 Products-Completed Operations. Contracts over \$1,000,000 may require higher limits. The insurance shall provide for and the certificate(s) of insurance shall indicate the following coverages:
- a) Premises - operations;
 - b) Broad form contractual liability;
 - c) Products and completed operations;
 - d) Personal/Advertising Injury.
2. **Business Automobile Liability*** insurance with a Combined Single Limit of \$1,000,000 per Occurrence for bodily injury and property damage, and shall include coverage for the following:
- a) Any auto;
 - or**
 - b) Owned autos; **and**
 - c) Hired autos; **and**
 - d) Non-owned autos.
- (If no owned vehicles, hired and non-owned may be acceptable, subject to the review and approval of St. Tammany Parish, Office of Risk Management.)
3. **Workers' Compensation/Employers Liability insurance*** - Workers' Compensation coverage as required by State law. Employers' liability limits shall be a minimum of \$500,000 each accident, \$500,000 each disease, \$500,000 disease policy aggregate. When water activities are expected to be performed in connection with this project, limits shall be a minimum of \$1,000,000 each accident, \$1,000,000 each disease, \$1,000,000 disease policy aggregate and coverage under the USL&H Act, Jones Act and/or Maritime Employers Liability (MEL) must be included. **Coverage for owners, officers and/or partners in any way engaged in the Project shall be included in the policy.** The names of any excluded individual must be shown in the Description of Operations/Comments section of the Certificate.
4. **Pollution Liability and Environmental Liability*** insurance in the minimum amount of \$1,000,000 per occurrence / \$2,000,000 aggregate including full contractual liability and third party claims for bodily injury and/or property damage, for all such hazardous waste, pollutants and/or environmental exposures that may be affected by this project stemming from pollution/environmental incidents as a result of Contractor's operations.

If coverage is provided on a claims-made basis, the following conditions apply:

- 1) the retroactive date must be prior to or coinciding with the effective date of the Contract, or prior to the commencement of any services provided by the Contractor on behalf of the Parish, whichever is earlier; AND
- 2) continuous coverage must be provided to the Parish with the same retro date for 24 months following acceptance or termination of the Project by the Parish either by
 - a) continued renewal certificates **OR**
 - b) a 24 month Extended Reporting Period

*The Certificate must indicate whether the policy is written on an occurrence or claims-made basis and, if claims-made, the applicable retro date must be stated.



5. **Accountant/CPA Professional Liability/Errors and Omissions*** insurance in the sum of at least \$5,000,000 per claim and preferably written on an occurrence basis. A claims-made form may be acceptable by the Parish under the following conditions: 1) the retroactive date must be placed prior to or coinciding with the effective date of the Contract, or prior to the commencement of any services provided by the Contractor on behalf of the Parish, whichever is earlier; AND 2) certification is provided that the liability policy contains an Extended Reporting Period "tail" providing continuation of coverage for at least twenty-four (24) months following the completion of Contractor's services/work.



6. **Third Party Cyber Liability** in the sum of at least \$1,000,000 per occurrence / \$2,000,000 annual aggregate for any loss resulting failure to protect any information pertaining to Parish claims or employee information retained within your claim management systems including, but not limited to: Data Breach; Crisis Management; Unauthorized Access/Use; Computer Virus; Denial of Service Attack; Denial of Access; Improper Transmission of Data; Libel, Cyber-Libel, Slander; Product Disparagement; Violation of Right of Privacy; Misappropriation and Plagiarism; Regulatory Costs including costs of defense; Privacy Costs-Privacy Injury and Identify Theft; Funds Transfer Fraud; Replacement or Restoration of Electronic Data; Extortion Threats; Business Income and Extra Expense; Public Relations Expense; Security Breach Remediation

***Excess/Umbrella Liability** insurance may be provided to meet the limit requirements for any Liability coverage. For example: if the General Liability requirement is \$3,000,000 per occurrence, but the policy is only \$1,000,000 per occurrence, then the excess policy should be at least \$2,000,000 per occurrence thereby providing a combined per occurrence limit of \$3,000,000.)

- D. All policies of insurance shall meet the requirements of the Parish prior to the commencing of any work. The Parish has the right, but not the duty, to approve all insurance coverages prior to commencement of work. If any of the required policies are or become unsatisfactory to the Parish as to form or substance; or if a company issuing any policy is or becomes unsatisfactory to the Parish, the Provider shall promptly obtain a new policy, timely submit same to the Parish for approval, and submit a certificate thereof as provided above. The Parish agrees not to unreasonably withhold approval of any insurance carrier selected by Provider. In the event that Parish cannot agree or otherwise authorize a carrier, Provider shall have the option of selecting and submitting a new insurance carrier within 30 days of said notice by the Parish. In the event that the second submission is insufficient or is not approved, then the Parish shall have the unilateral opportunity to thereafter select a responsive and responsible insurance carrier all at the cost of Provider and thereafter deduct from Provider's fee the cost of such insurance.
- E. Provider shall maintain a current copy of all annual insurance policies and agrees to provide a certificate of insurance to the Parish on an annual basis or as may be reasonably requested for the term of the contract or any required Extended Reporting Period. Provider further shall ensure that all insurance policies are maintained in full force and effect throughout the duration of the Project and shall provide the Parish with annual renewal certificates of insurance evidencing continued coverage, without any prompting by the Parish.
- F. Provider shall maintain a current copy of all annual insurance policies and agrees to provide same to the Parish on an annual basis or as may be reasonably requested. Provider further shall ensure that all insurance policies are maintained in full force and effect throughout the duration of the Project and shall provide the Parish with annual renewal certificates of insurance evidencing continued coverage, without any prompting by the Parish.
- G. It shall be the responsibility of Provider to require that these insurance requirements are met by all contractors and sub-contractors performing work for and on behalf of Provider. Provider shall further ensure the Parish is named as an additional insured on all insurance policies provided by said contractor and/or sub-contractor throughout the duration of the project.

H. Certificates of Insurance shall be issued as follows:

**St. Tammany Parish Government
Attn: Risk Management
P O Box 628
Covington, LA 70434**

To avoid contract processing delays, be certain the project name/number is included on all correspondence including Certificates of Insurance.

***NOTICE: St. Tammany Parish Government reserves the rights to remove, replace, make additions to and/or modify any and all of the insurance requirements at any time.**

Any inquiry regarding these insurance requirements should be addressed to:

**St. Tammany Parish Government
Office of Risk Management
P O Box 628
Covington, LA 70434
Telephone: 985-898-5226
Email: riskman@stpgov.org**

ATTACHMENT "E"

HOLD HARMLESS AGREEMENT

_____ (Contractor) agrees to protect, defend, indemnify, save, and hold harmless St. Tammany Parish Government, its elected and appointed officials, departments, agencies, boards and commissions, its officers, agents servants, employees, including volunteers, from and against any and all claims, demands, expense and liability arising out of injury or death to any person or the damage, loss or destruction of any property to the extent caused by any act or omission of Contractor, its agents, servants, employees, and subcontractors, or any and all costs, expense and/or attorney fees incurred as a result of any claim, demands, and/or causes of action that results under the performance or non-performance of this contract.

_____ (Contractor) agrees to investigate, handle, respond to, provide defense for and defend any such claims, demand, or suit, as described in the paragraph above, at its sole expense and agrees to bear all other costs and expenses related thereto, even if it (claims, etc.) is groundless, false or fraudulent.

SIGNED, this ____ day of _____, 20__

WITNESSES:

Print Name: _____

Print Name: _____

BY: _____
(Signature of Authorized Officer)

Print Name: : _____

Title: _____

STATE OF _____

PARISH/COUNTY OF _____

SWORN TO and subscribed before me, Notary, on this ____ day of _____, 20__.

NOTARY PUBLIC

My Commission Expires: _____

Please complete the following:

Claims contact for this project will be:

(Print name and title of Contact Person)

Address

Email address

Telephone#

Cell #

Fax #

ATTACHMENT "F"

**AFFIDAVIT PURSUANT TO LA R.S. 38:2224 AND ETHICS PROVISIONS
FOR PROFESSIONAL & ESSENTIAL SERVICE CONTRACTS**

STATE OF _____

PARISH/COUNTY OF _____

BEFORE ME, the undersigned authority, in and for the above stated State and Parish (or County), personally came and appeared:

Print Name

who, after first being duly sworn, did depose and state:

1. That affiant is appearing on behalf of _____, who is seeking a Professional or Essential Service Contract with St. Tammany Parish Government.
2. That affiant employed no person, corporation, firm, association, or other organization, either directly or indirectly, to secure the public contract under which he received payment, other than persons regularly employed by the affiant whose services in connection with the construction, alteration or demolition of the public building or project or in securing the public contract were in the regular course of their duties for affiant; and

3. That no part of the contract price received by affiant was paid or will be paid to any person, corporation, firm, association, or other organization for soliciting the contract, other than the payment of their normal compensation to persons regularly employed by the affiant whose services in connection with the construction, alteration or demolition of the public building or project were in the regular course of their duties for affiant.

4. If affiant is a sole proprietor, that neither affiant, nor his/her immediate family is a public servant of St. Tammany Parish Government or the Contract is not under the supervision or jurisdiction of the public servant's agency.

5. If affiant is executing this affidavit on behalf of a juridical entity such as a partnership, corporation, or LLC, etc., that no public servant of St. Tammany Parish Government, or his/her immediate family, either individually or collectively, has more than a 25% ownership interest in the entity seeking the Contract with St. Tammany Parish Government if the Contract will be under the supervision or jurisdiction of the public servant's agency.

Printed Name: _____

Title: _____

Entity name: _____

THUS SWORN TO AND SUBSCRIBED BEFORE ME,
THIS _____, DAY OF _____, 202__.

Notary Public

Print Name: _____

Notary I.D./Bar No.: _____

My commission expires: _____

**AFFIDAVIT PURSUANT TO LA R.S. 38:2212.10 CONFIRMING
REGISTRATION AND PARTICIPATION IN A STATUS VERIFICATION
SYSTEM**

STATE OF _____

PARISH/COUNTY OF _____

BEFORE ME, the undersigned authority, in and for the above stated State and Parish (or County), personally came and appeared:

Print Name

who, after first being duly sworn, did depose and state:

1. That affiant is appearing on behalf of _____, a private employer seeking a bid or a contract with St. Tammany Parish Government for the physical performance of services within the State of Louisiana.
2. That affiant is registered and participates in a status verification system to verify that all employees in the state of Louisiana are legal citizens of the United States or are legal aliens; and
3. That affiant shall continue, during the term of the contract, to utilize a status verification system to verify the legal status of all new employees in the state of Louisiana.
4. That affiant shall require all subcontractors to submit to the affiant a sworn affidavit verifying compliance with this law.

Printed Name: _____

Title: _____

Name of Entity: _____

THUS SWORN TO AND SUBSCRIBED BEFORE ME,

THIS _____, **DAY OF** _____, **202**__.

Notary Public

**Attachment G
Sample Scoring Matrix
RFP # 21-14-3
Auditing Services**

Vendor/Business Name _____

Evaluator's Name _____

CRITERIA	POSSIBLE POINTS	ASSIGNED POINTS	COMMENTS
Compliance with the RFP	10pts		
Ability to perform within the stated timeframe	10pts		
Technical Qualifications: Past Experience and Performance on Audits of Governments in the State of Louisiana	20pts		
Technical Qualifications: Past Experience and Performance on Audits of Comparable Governments in Other States	5pts		
Technical Qualifications: Past Experience and Performance on Other Governmental Attest or Assurance Engagements	5pts		
Technical Qualifications: Quality of the Firm's Professional Personnel	10pts		
Technical Qualifications: Policies and Procedures	5pts		
Technical Qualifications: Specific Audit Approach	20pts		
Technical Qualifications: Identification of Anticipated Potential Audit Problems	5pts		
Overall costs and fees to be charged	10pts		

Vendor Total

100pts

Signature of Evaluator: _____

Date: _____